

NANOSTRUCTURED GERMANIUM THIN FILMS AS ANODE MATERIAL FOR LITHIUM-ION BATTERIES FOR AEROSPACE APPLICATIONS

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**Dipartimento
di Fisica
e Scienze della Terra**



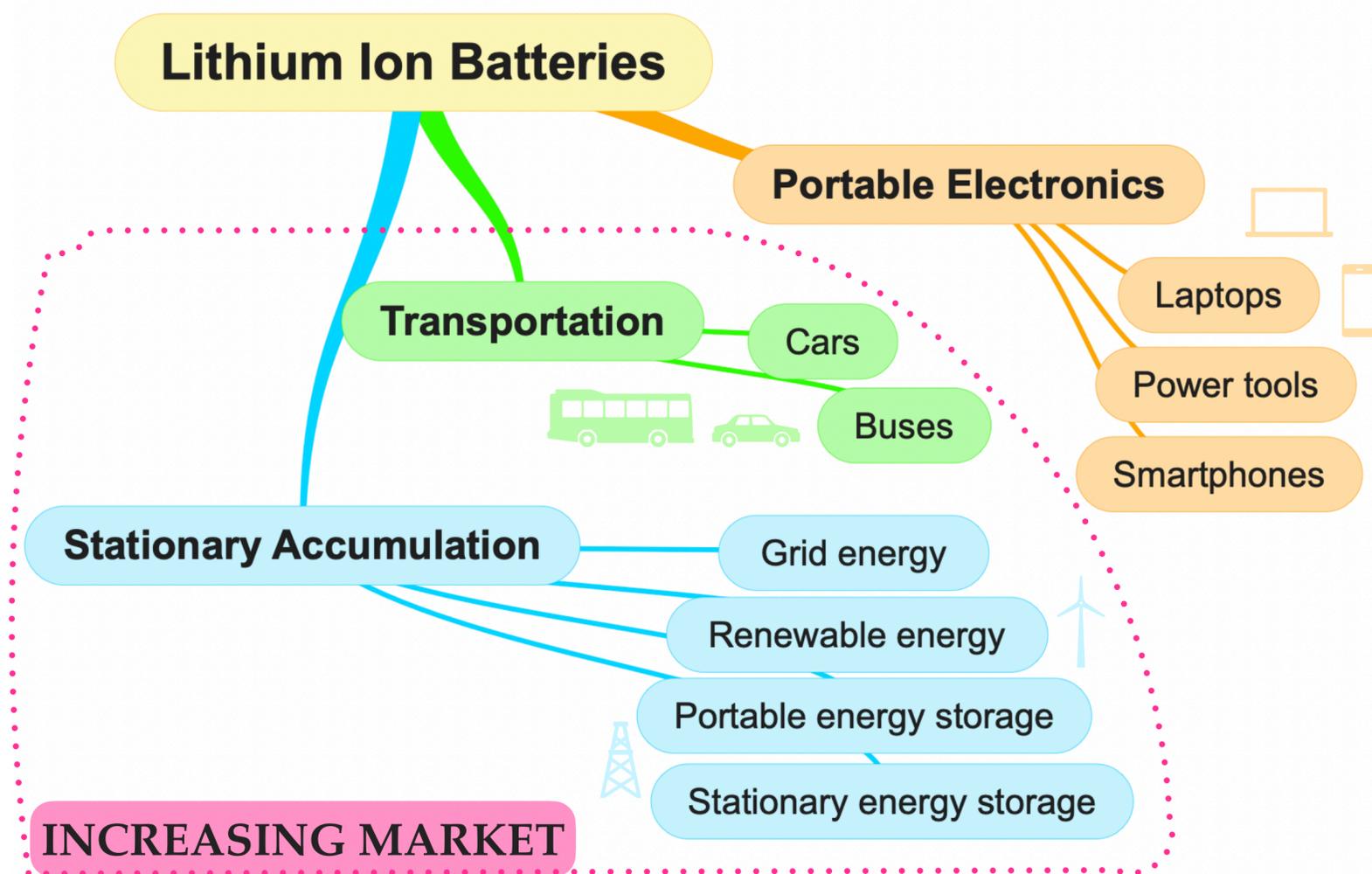
**Agenzia
Spaziale
Italiana**



2023 NASA Aerospace Battery Workshop



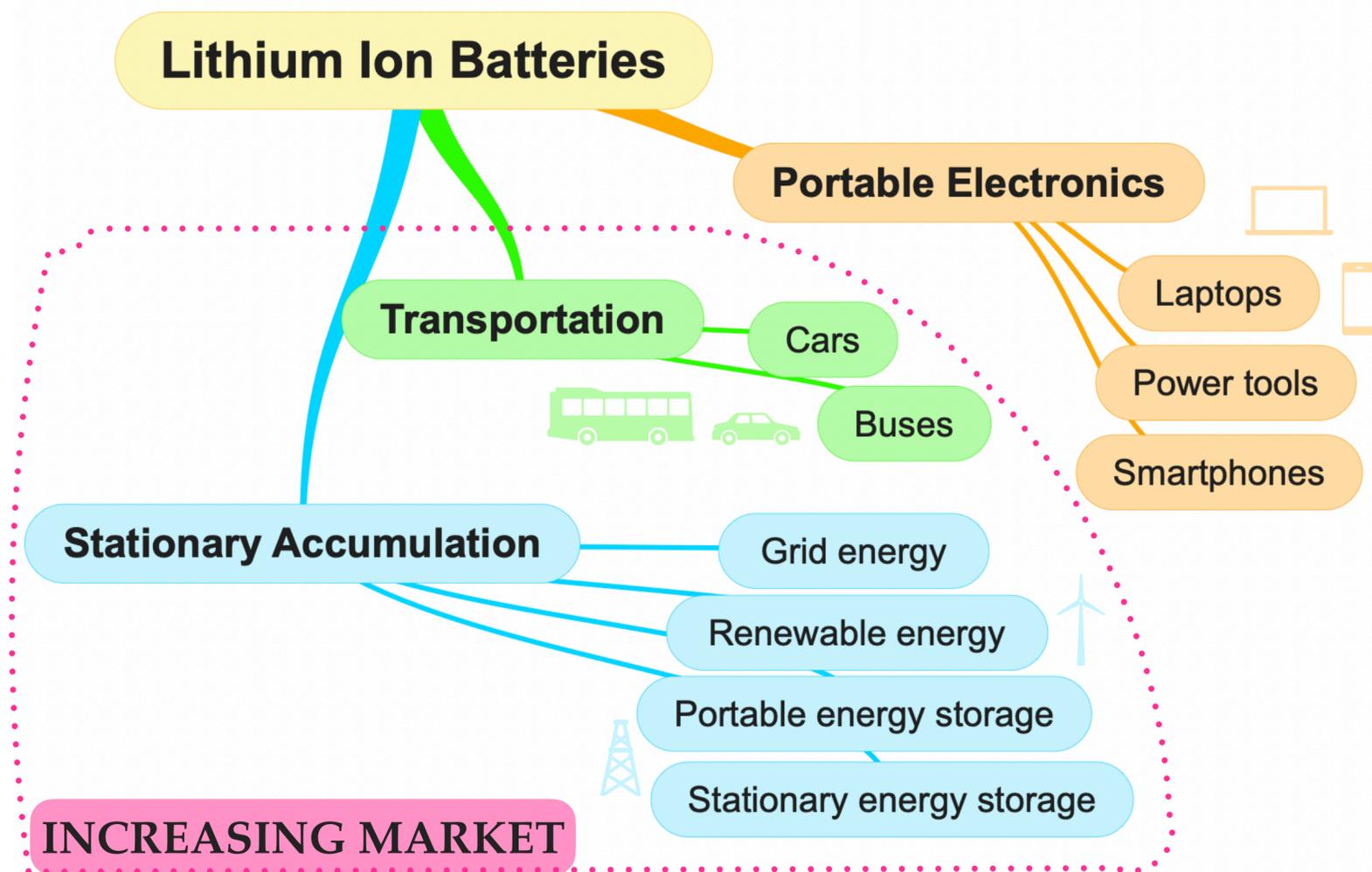
“Batteries are an indispensable energy source. They are also a key technology in the transition to climate neutrality ... Global demand for batteries is increasing rapidly and is set to increase 14 times by 2030. The EU could account for 17% of that demand.”



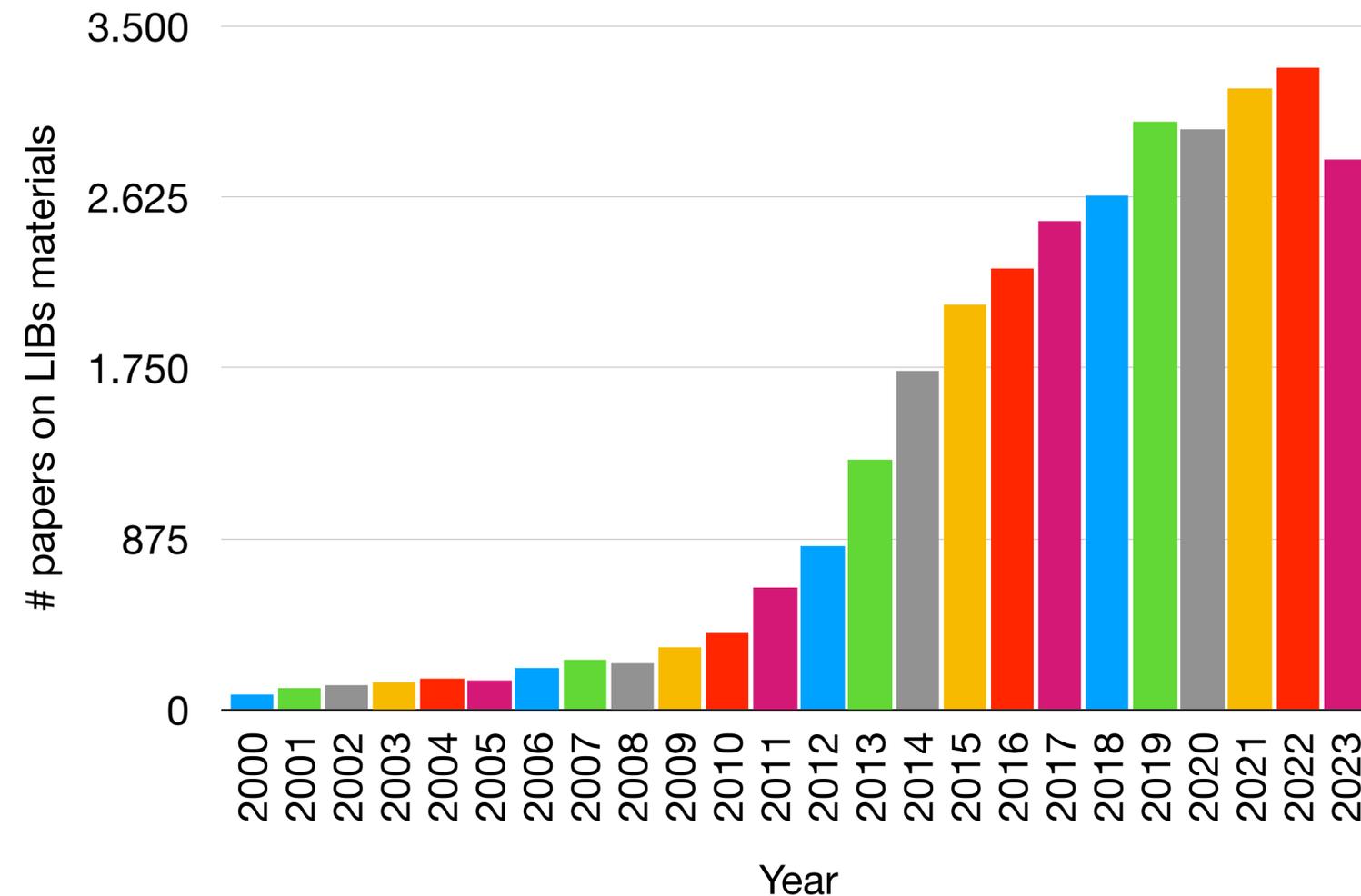
Adapted from *Electrochem. Energ. Rev.* 2, 1–28 (2019). <https://doi.org/10.1007/s41918-018-0022-z>



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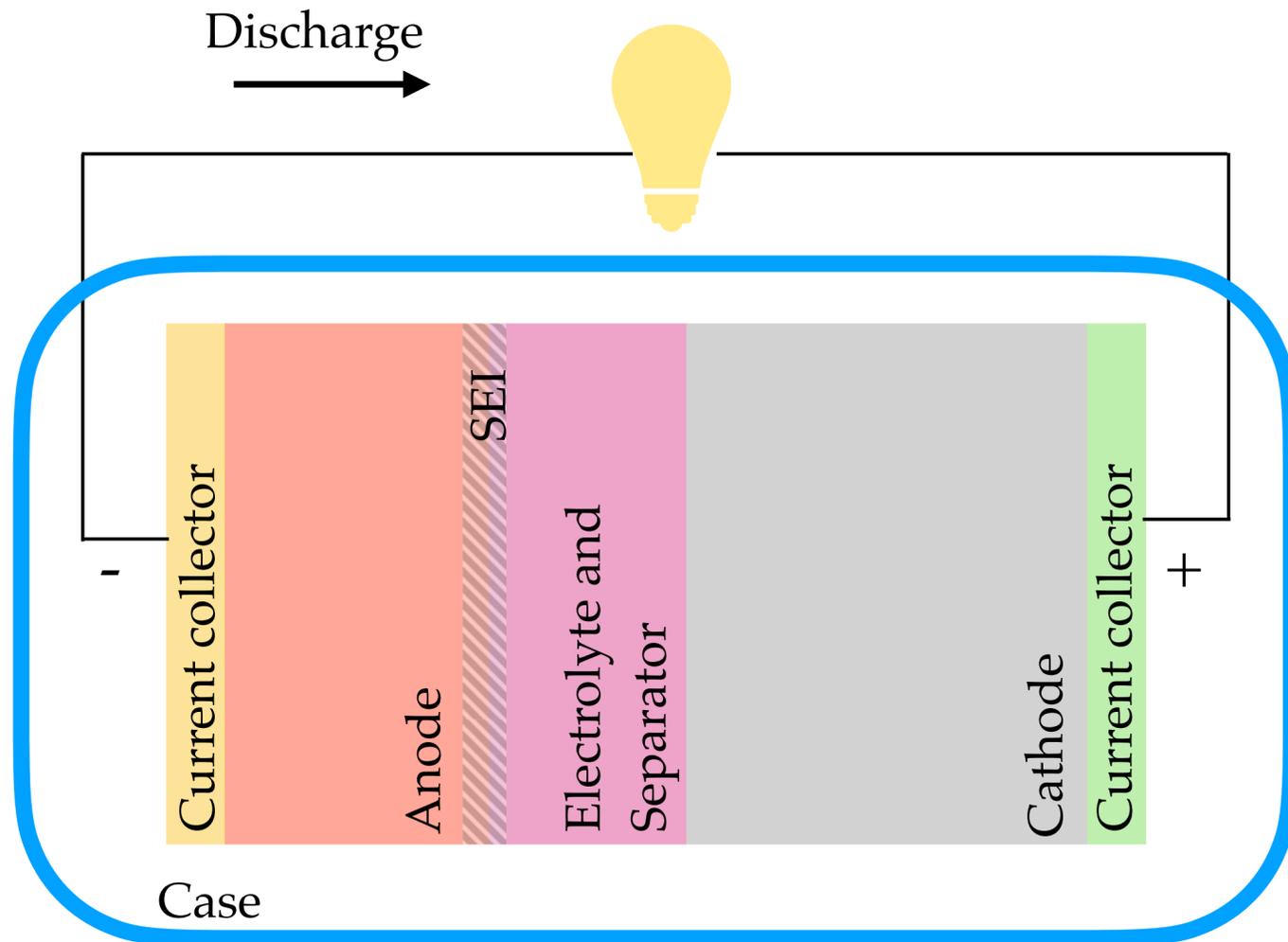


Time to push toward innovative materials research



Adapted from *Electrochem. Energ. Rev.* 2, 1–28 (2019). <https://doi.org/10.1007/s41918-018-0022-z>

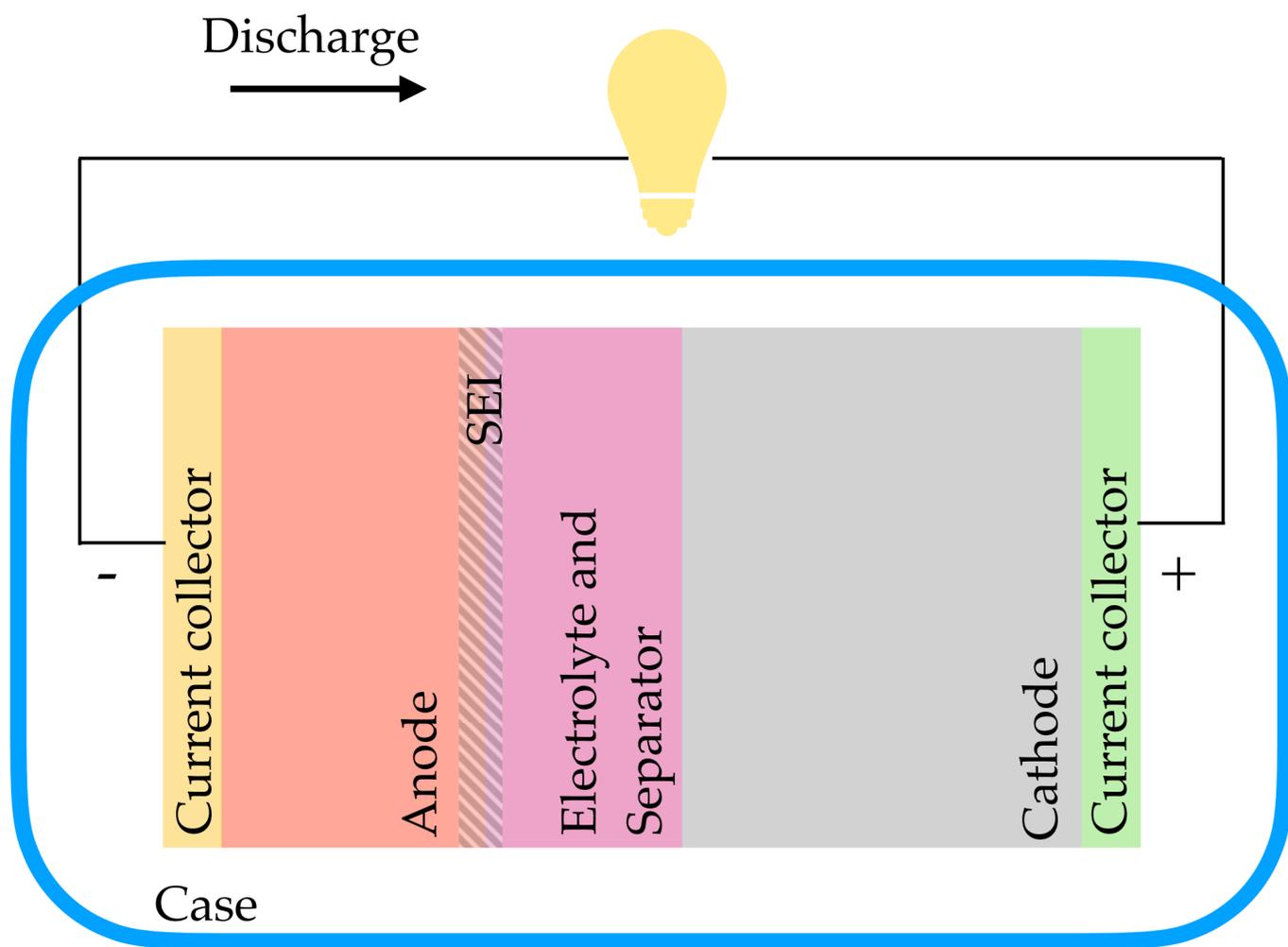
The voltage delivered depends on the **cell chemistry** hence on the **material** that forms it.



LIBs elements

- ▶ Negative electrode in which **oxidation** takes place.
- ▶ Positive electrode in which **reduction** takes place.
- ▶ Separator & Electrolyte
- ▶ Case

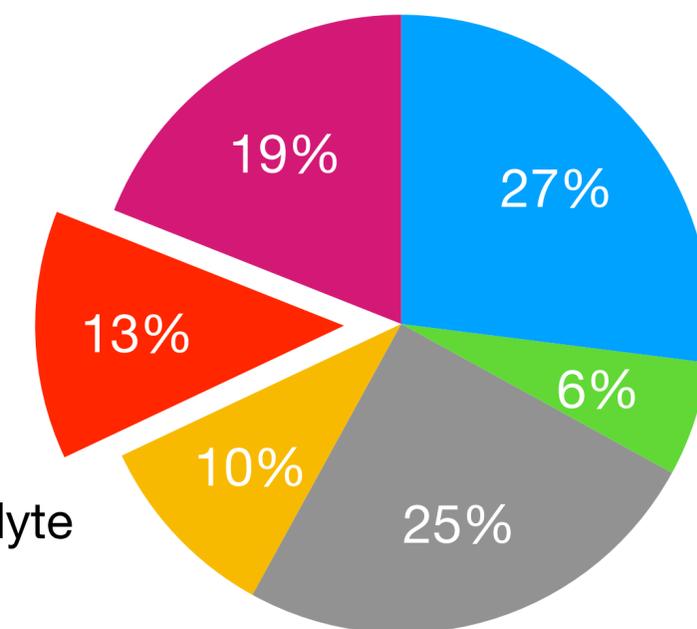
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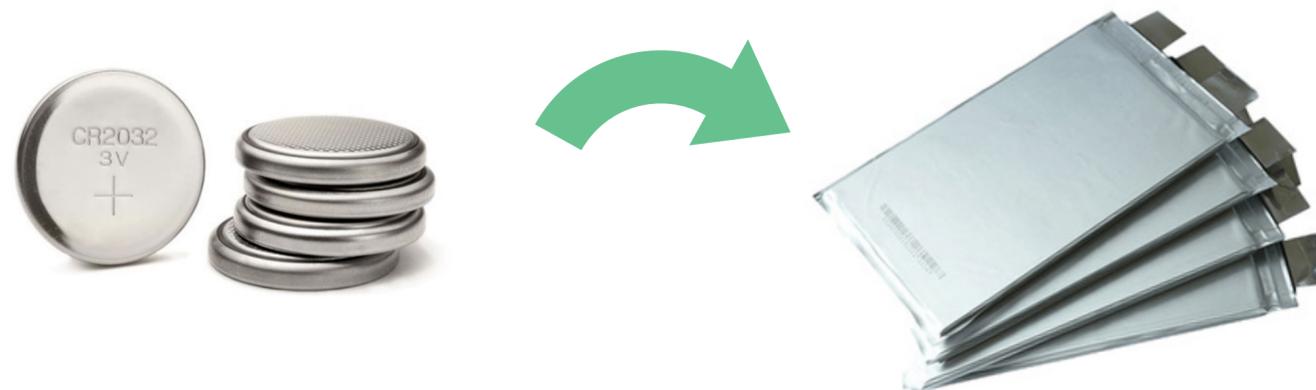
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Weight percentages



👁️ 10% binder → we propose a **binder free approach**

[1] Adapted from RSC Adv., 2014,4, 3633–3642 . DOI: [10.1039/c3ra45748f](https://doi.org/10.1039/c3ra45748f)



Germanium Lithium-Ion baTTERY

Project final GOAL:

Produce a battery pack with pouch cell format lithium ion batteries using a porous Germanium electrode



Agenzia Spaziale Italiana

Project is entirely founded by the Italian Space Agency

NASA TRL

- TRL 9 Actual system “flight proven” through successful mission operations
- TRL 8 Actual system completed and “flight qualified” through test and demonstration (ground or space)
- TRL 7 System prototype demonstration in a space environment
- TRL 6 System/subsystem model or prototype demonstration in a relevant environment (ground or space)
- TRL 5 Component and/or breadboard validation in relevant environment
- TRL 4 Component and/or breadboard validation in laboratory environment
- TRL 3 Analytical and experimental critical function and/or characteristic proof-of-concept
- TRL 2 Technology concept and/or application formulated
- TRL 1 Basic principles observed and reported

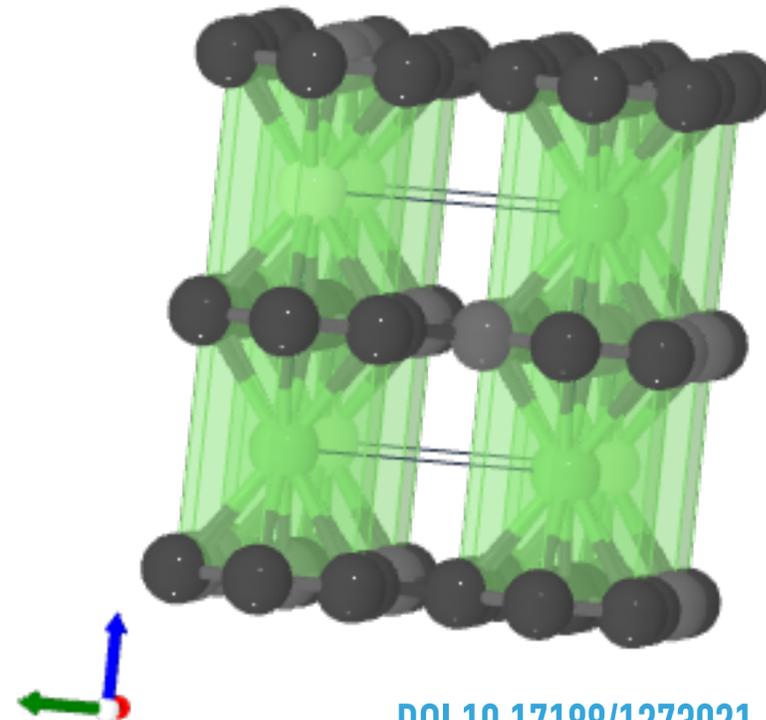
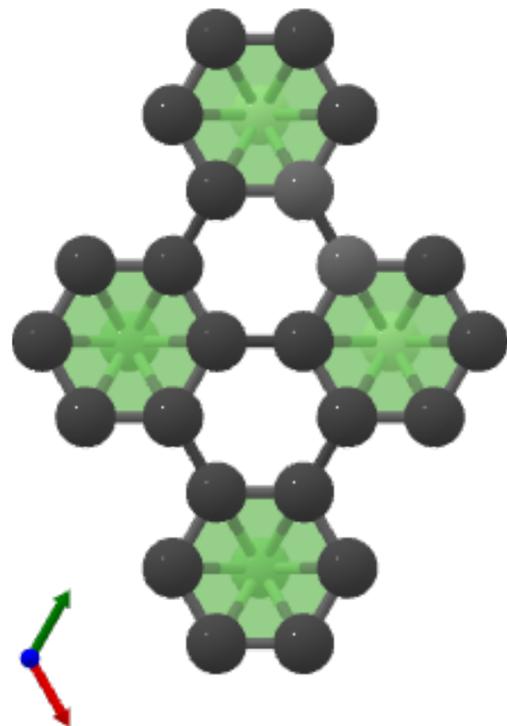
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Lithiated phase	LiC_6	Li	$\text{Li}_{22}\text{Si}_5$	$\text{Li}_{22}\text{Ge}_5$
Theoretical specific capacity [mAh/g]	372	3 862	4 200	1 624
Volume change [%]	12	-	420	370

Standard materials used in commercial LIBs

BUT



- ✗ Low capacity
- ✗ Poor rate capabilities



[DOI:10.17188/1273021](https://doi.org/10.17188/1273021)

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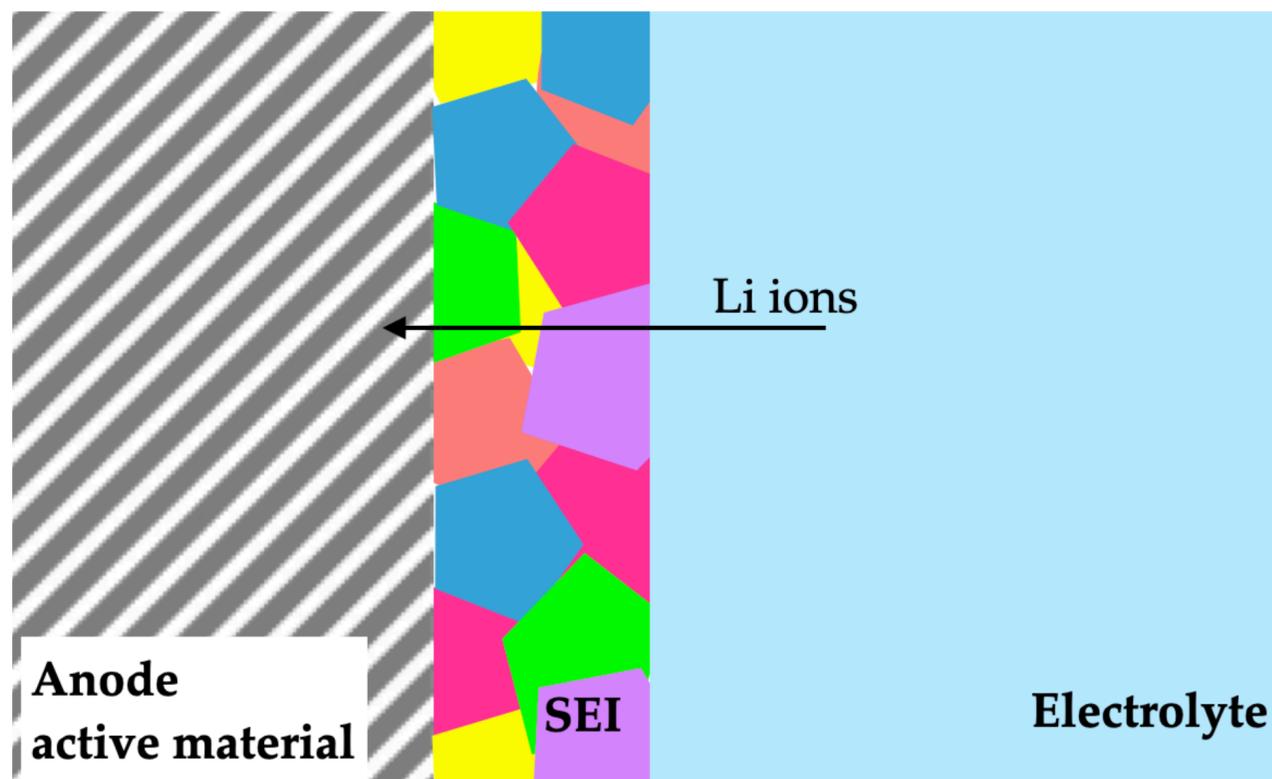
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SEI
(Solid Electrolyte Interphase)



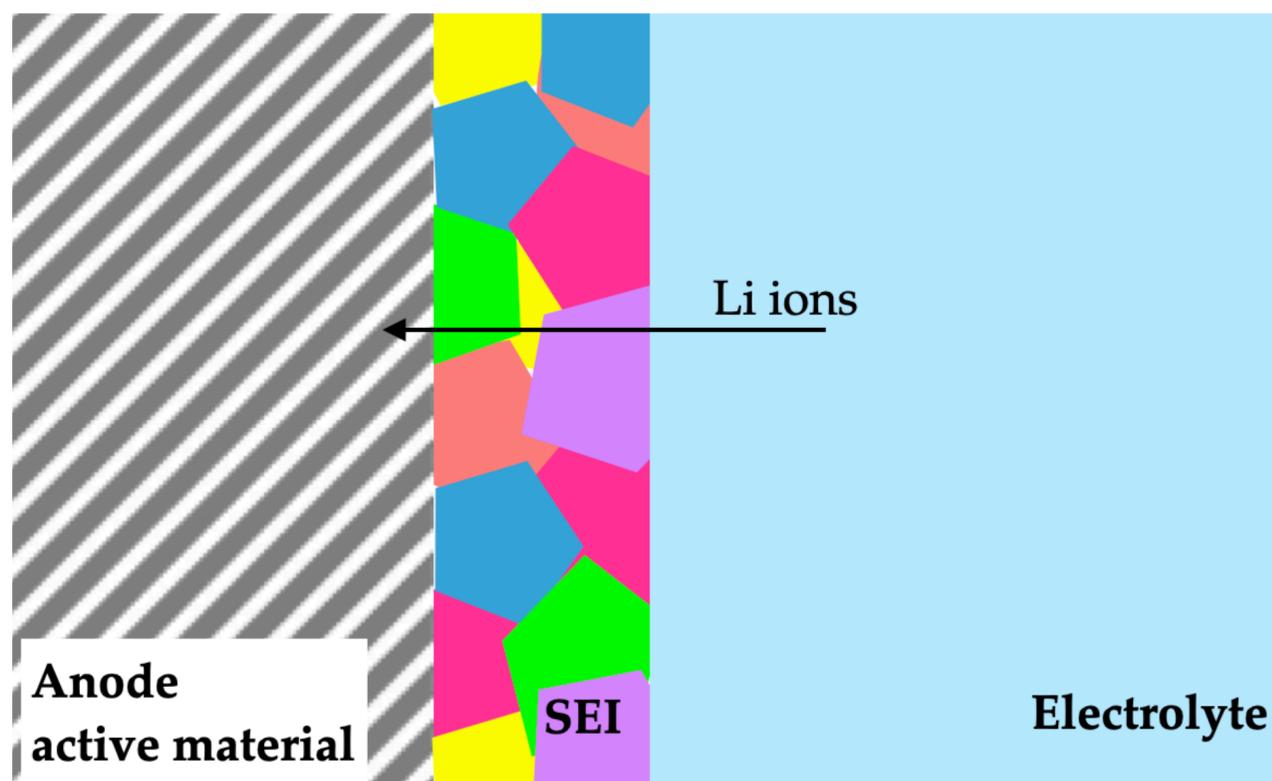
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- ✗ High reactivity (H₂O, O₂)
- ✗ Uncontrollable dendrite formation
- ✗ Unstable anode / electrolyte interface
- ✗ Supply shortage

SEI
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Lithium recycling

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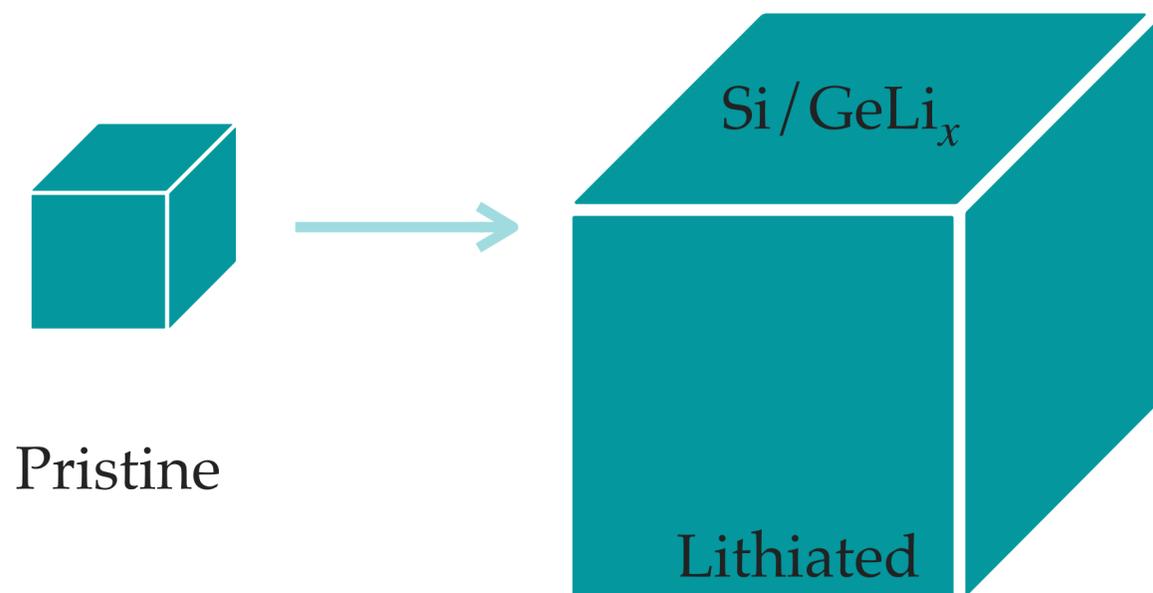
High theoretical specific capacity BUT



✗ Huge volumetric expansion (~ 400 %) upon lithiation

leading to

- ✗ Active material pulverisation
- ✗ Cracks formation
- ✗ Delamination from the substrate



Possible way to cope with this?

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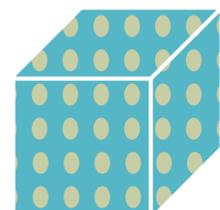


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Pristine



Lithiated



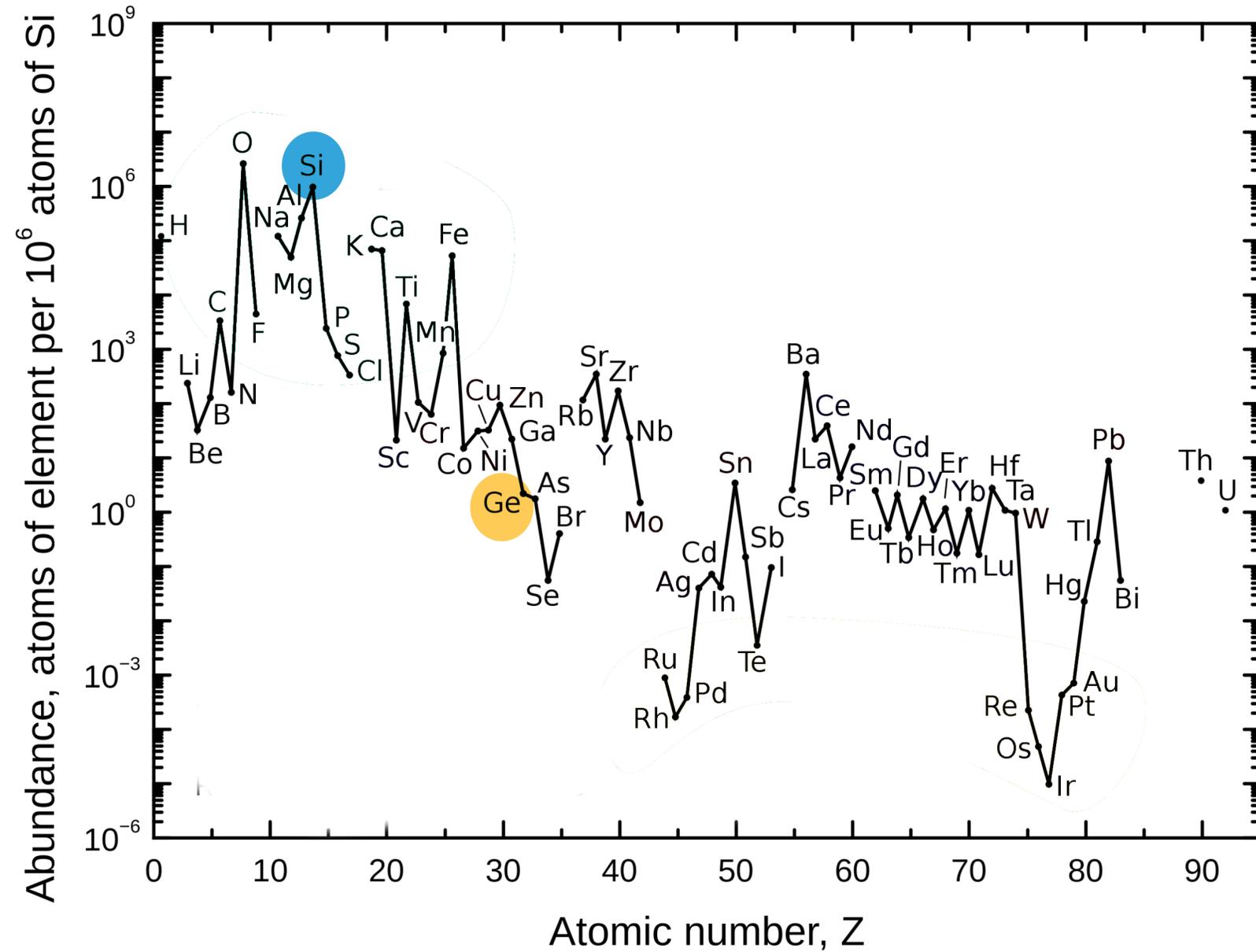
Nanopores

(volume accommodation by nano-pores)

Possible way to cope with this? **Nanostructurization**

Ge-based electrode practical application is limited to sectors in which the **volumetric energy density [Wh/L]** is essential.

CRITICAL ROW MATERIAL



<http://pubs.usgs.gov/fs/2002/fs087-02/>

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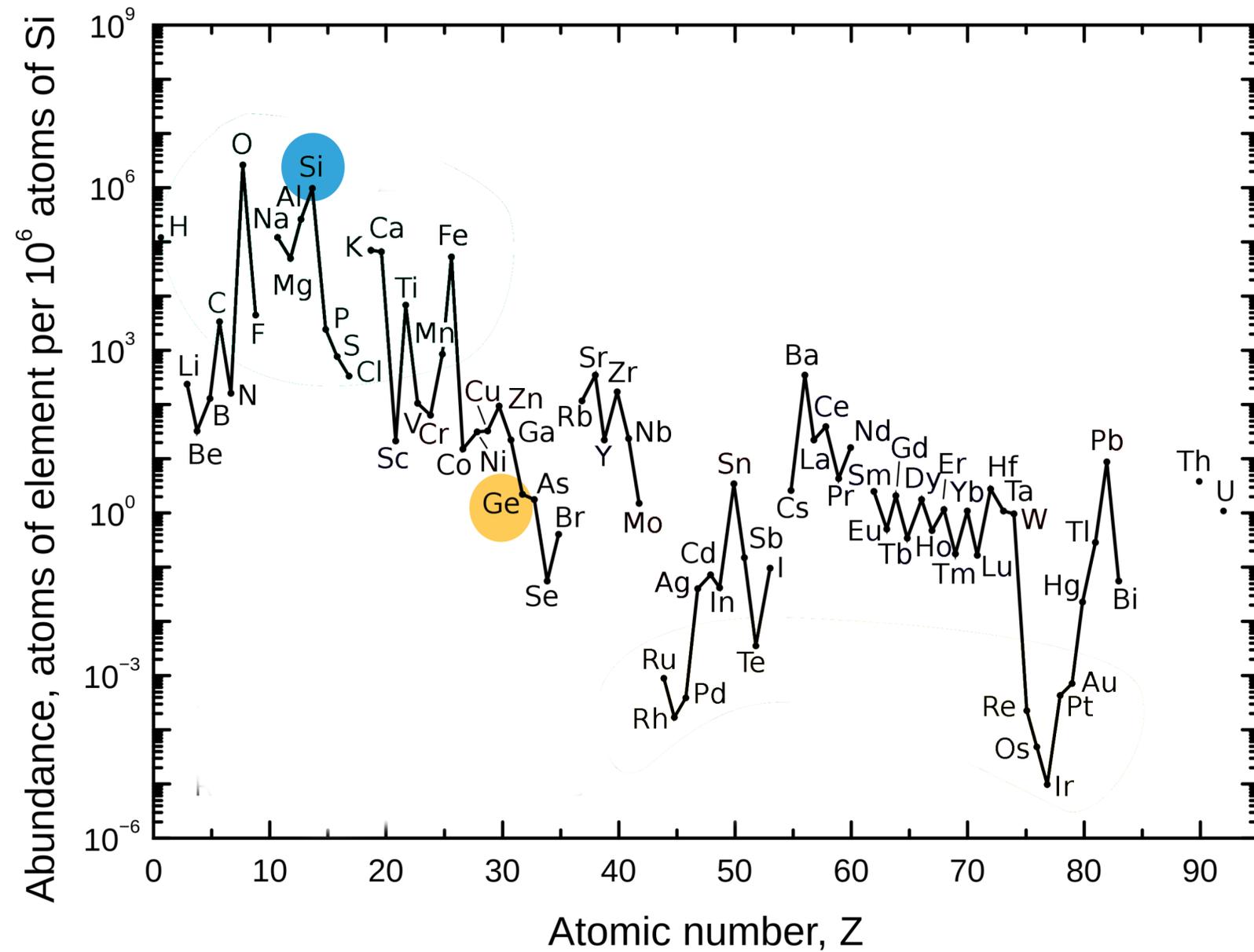
Due to the **high theoretical specific capacity**



Promising materials for the **AEROSPACE** sector!



CRITICAL RAW MATERIAL

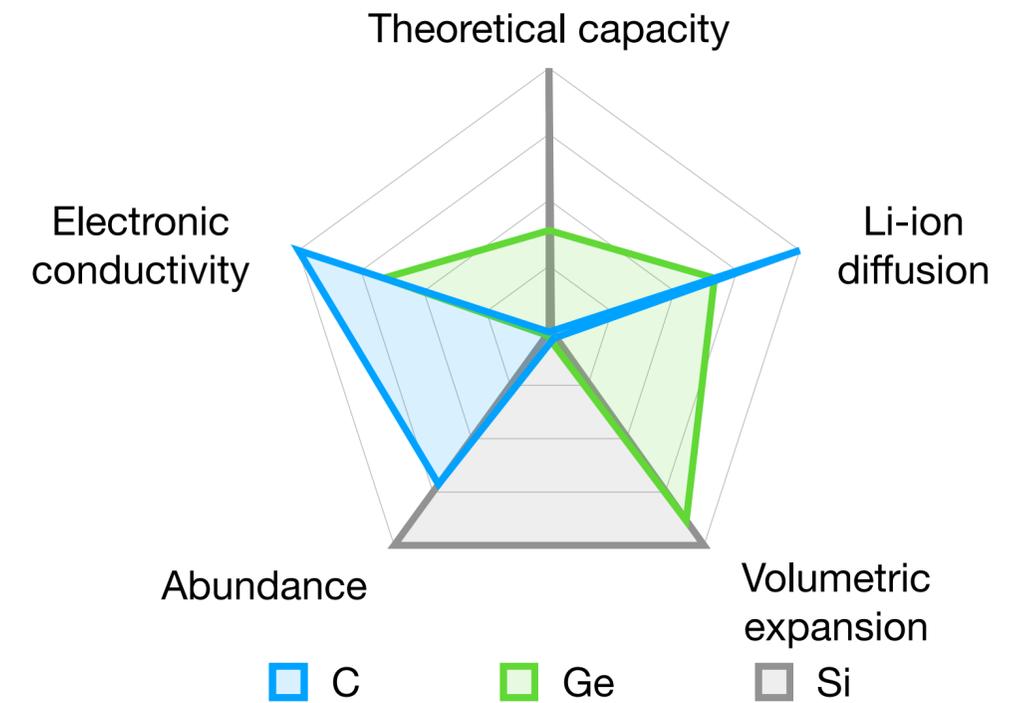


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WHY GERMANIUM

Ge vs Si

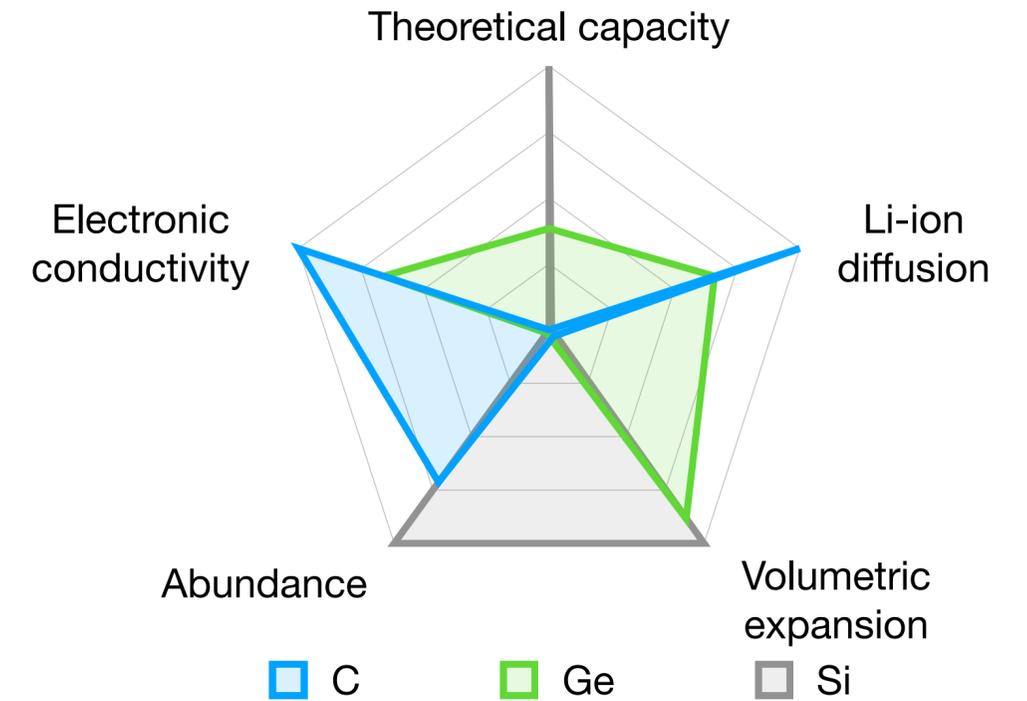
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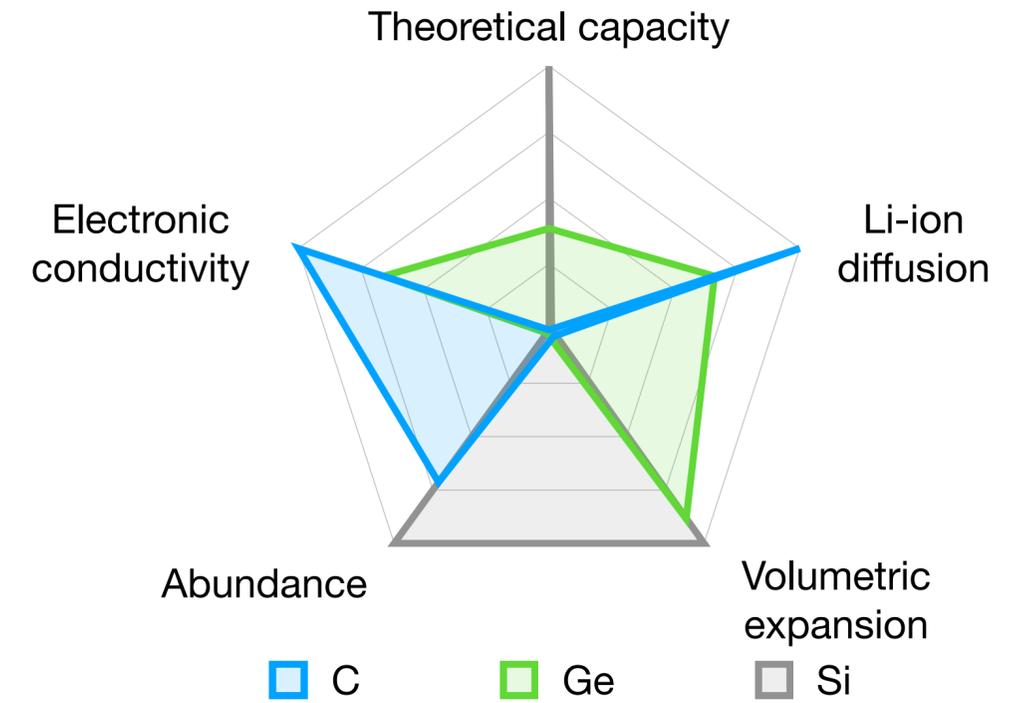
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 $[E_g(Ge) = 0.66 \text{ eV vs } E_g(Si) = 1.1 \text{ eV @ 300K}]$.



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C-RATE:

$$\text{C-rate} = \frac{\text{specific capacity [mAh g}^{-1}] \cdot \text{mass loading [g]}}{\text{time [h]}}$$

$$1C = 1\text{h charge/discharge}$$

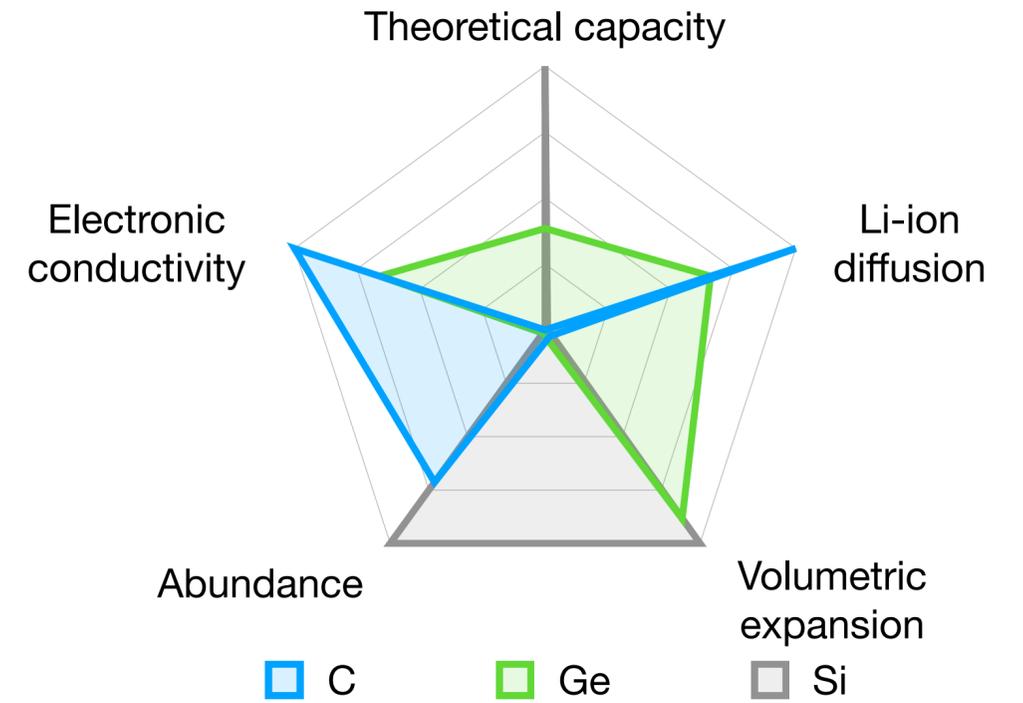
$$C/2 = 2\text{h charge/discharge}$$

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- ✓ Surface stability : Ge less reactive than Si towards O molecules^[1]
Intrinsic suppression of irreversible capacity loss due to Li_2O formation



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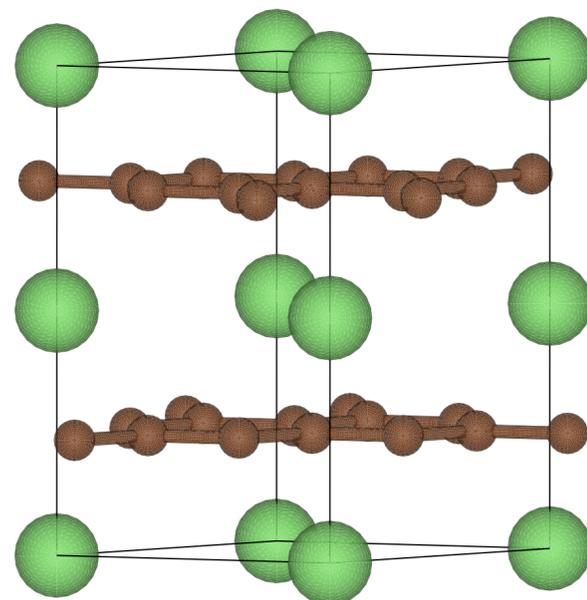
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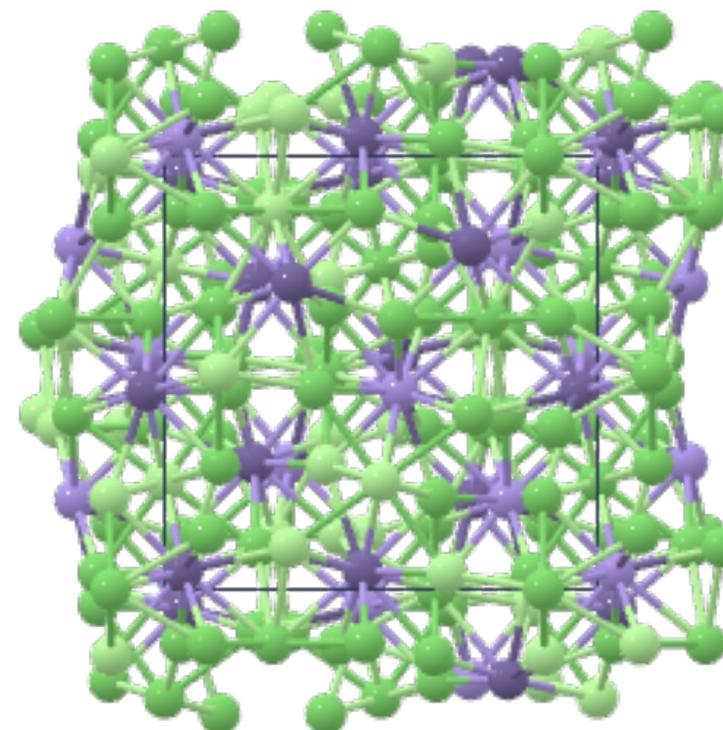
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[1] [doi:10.1088/1757-899X/41/1/012007](https://doi.org/10.1088/1757-899X/41/1/012007)

Intercalation mechanism



Alloying mechanisms



GRAVIMETRIC CAPACITY:

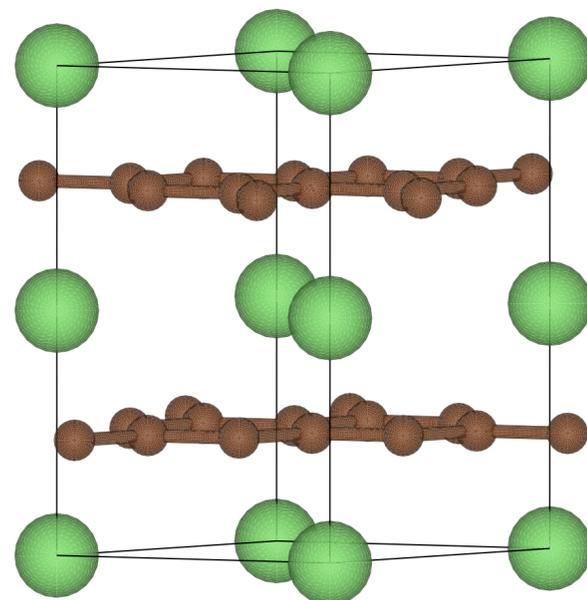
the charge stored per unit mass of active material in the electrode

STANDARD TECHNOLOGY

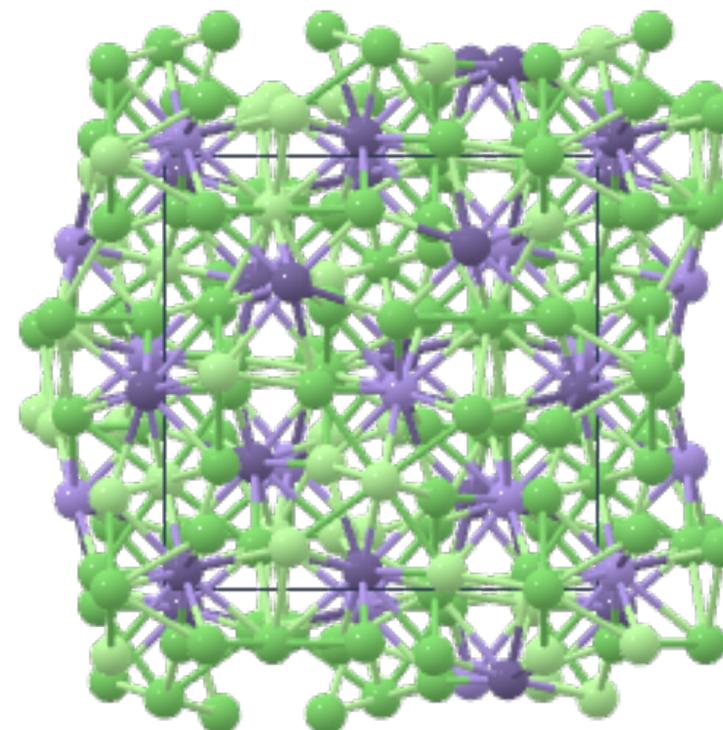
$$\text{LiC}_6 \quad C_{\text{Graphite}} = \frac{1}{6} \frac{F}{\text{Carbon Molar Mass}} \approx 372 \text{ mAh g}^{-1}$$

$$\text{Li}_{22}\text{Ge}_5 \quad C_{\text{Ge}} = \frac{22}{5} \frac{F}{\text{Ge Molar Mass}} \approx 1624 \text{ mAh g}^{-1}$$

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GERMANIUM LITHIATED PHASES

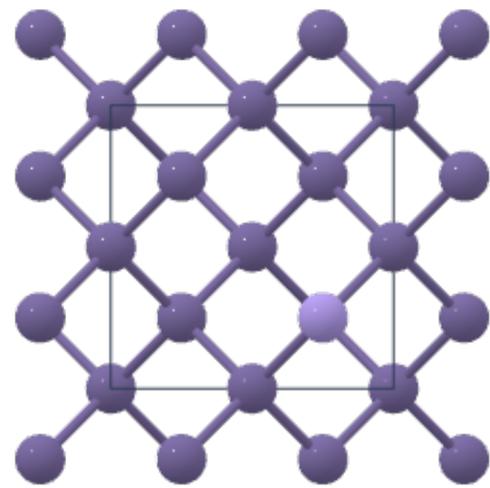
Ge



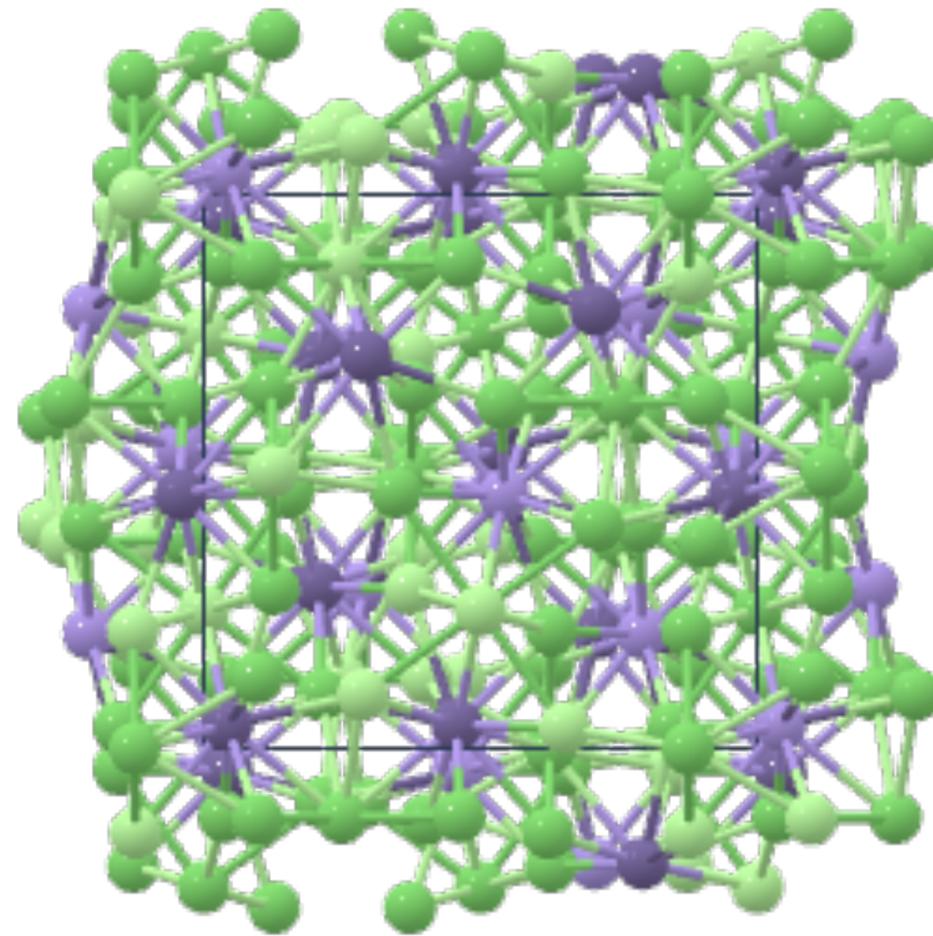
$\text{Li}_{15}\text{Ge}_4$



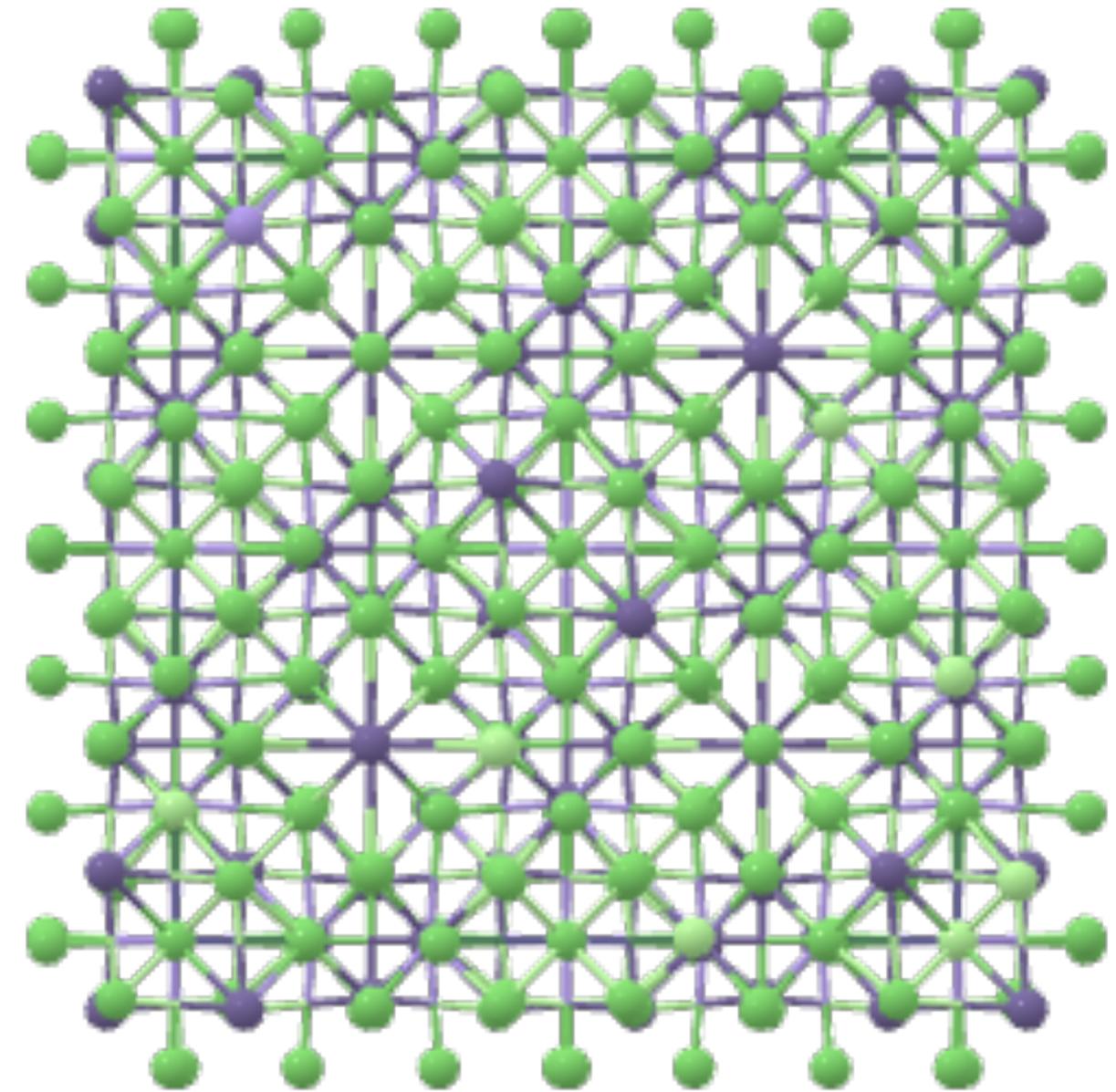
$\text{Li}_{22}\text{Ge}_5$



[DOI: 10.17188/1206032](https://doi.org/10.17188/1206032)



[DOI: 10.17188/1192682](https://doi.org/10.17188/1192682)



Data retrieved from the Materials Project for $\text{Li}_{22}\text{Ge}_5$ (mp-1204063) from database version v2022.10.28



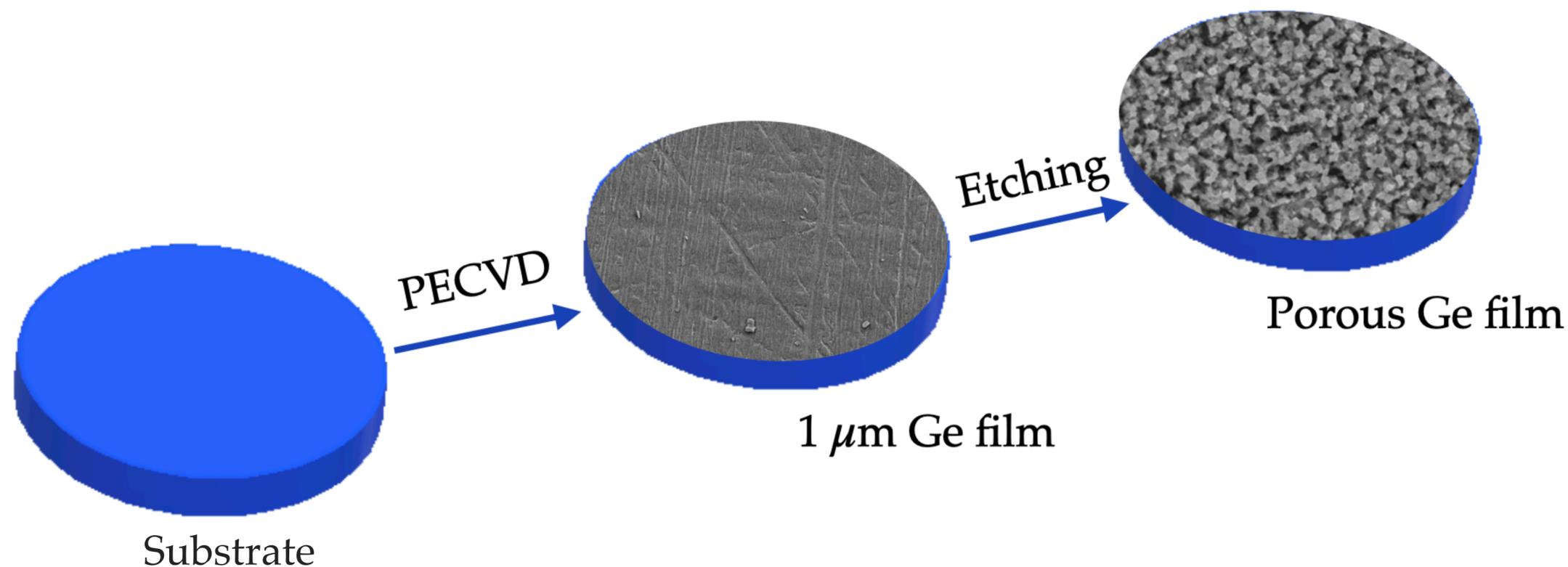
TWO STEPS SAMPLE PREPARATION:

1. DEPOSITION

via Chemical Vapor Deposition

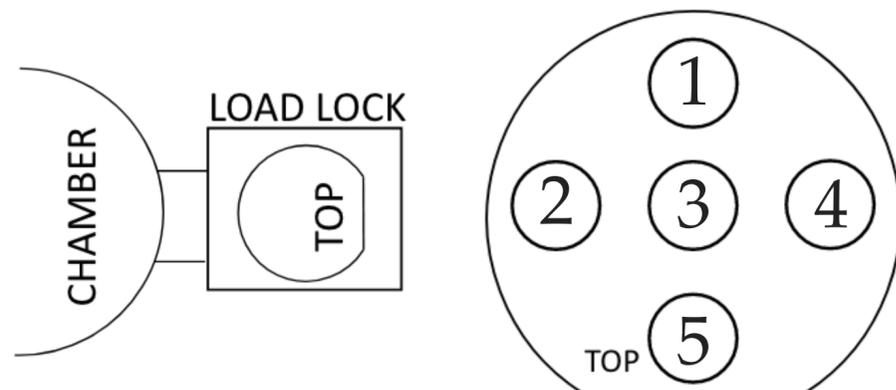
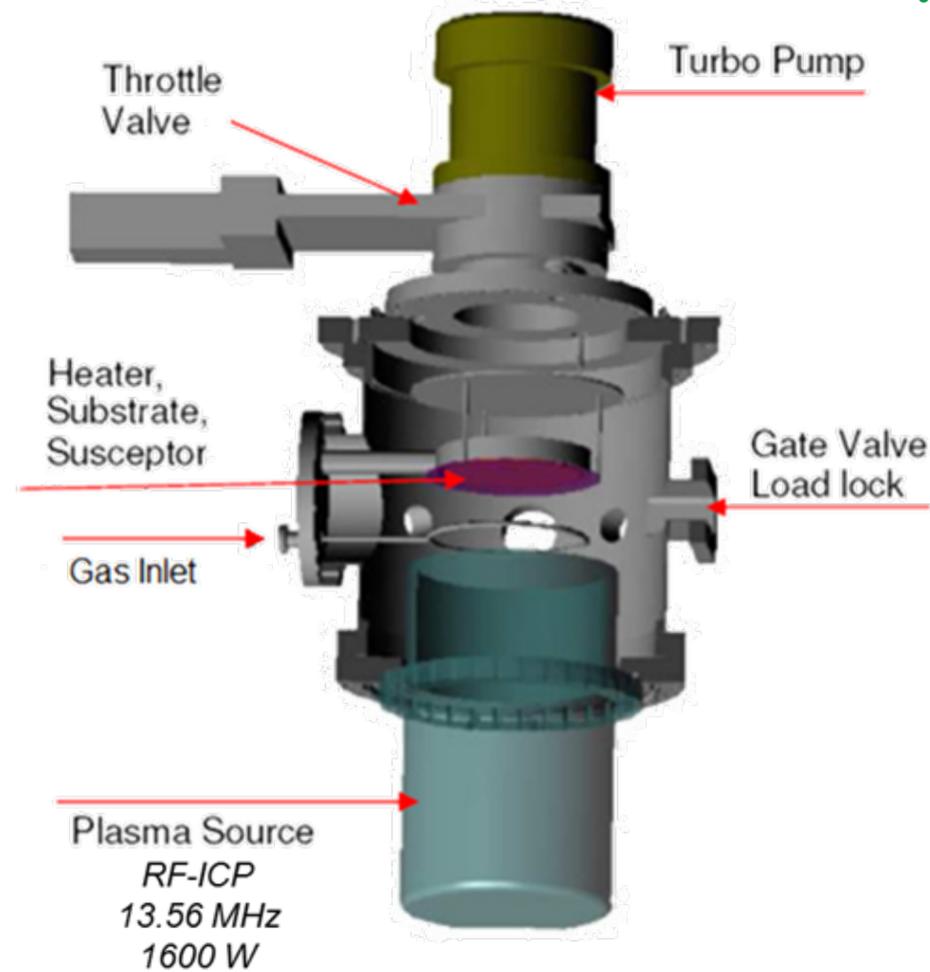
2. NANOSTRUCTURATION

via Electrochemical Etching



TOP-DOWN APPROACH

PECVD - PLASMA ENHANCED CHEMICAL VAPOUR DEPOSITION



The **precursors** of the element to be deposited are decomposed in **reactive species**



React chemically to produce a film on the target substrate.

Precursor gas: **GeH₄**

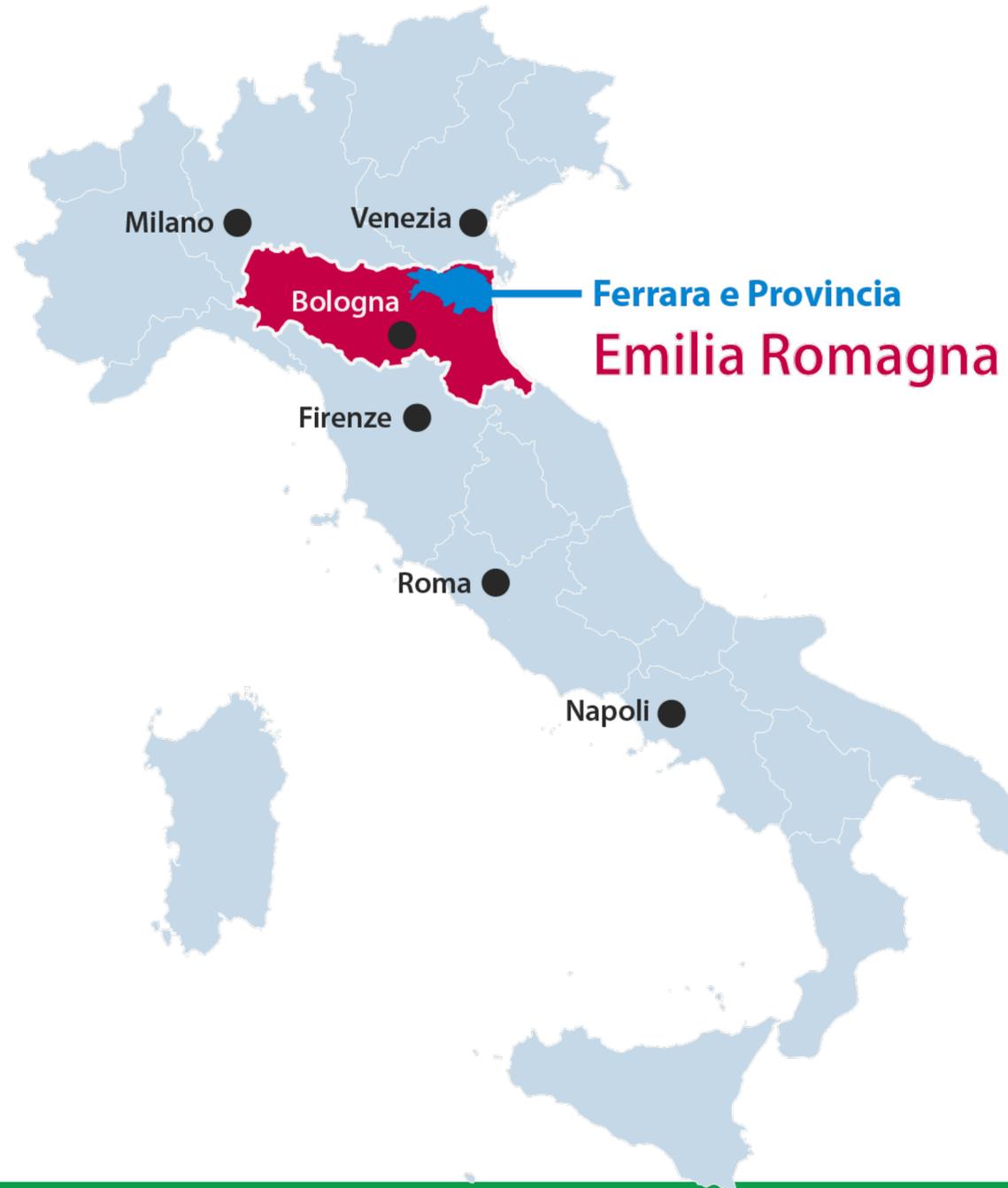
Growth rate: 1.265 nm/s

BINDER FREE ANODE

Active material ONLY!

PECVD - PLASMA ENHANCED CHEMICAL VAPOUR DEPOSITION

PECVD equipment at the University of Ferrara -
Department of Physics and Earth Science

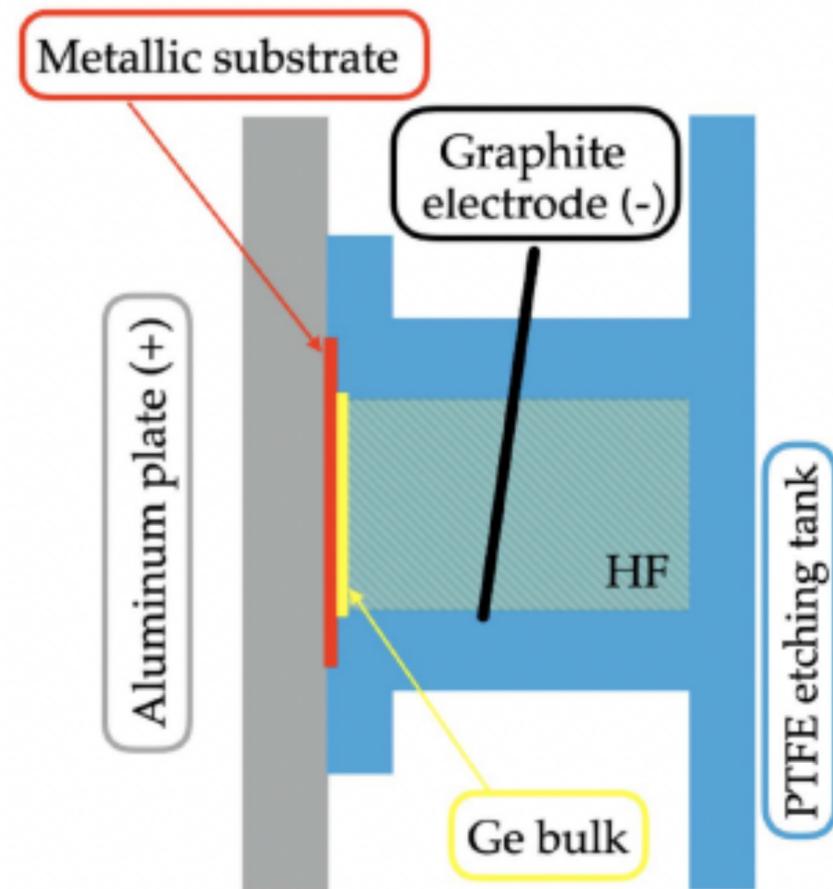


Università
degli Studi
di Ferrara



ELECTROCHEMICAL ETCHING

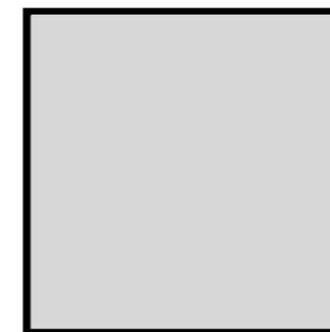
Electrochemical etching involves non-spontaneous reactions to
 → create nano-pores to better accommodate Li ions



- + connected to the Aluminum plate
- clamped to a Graphite rod



40 mA current for 180 s



Scheme:

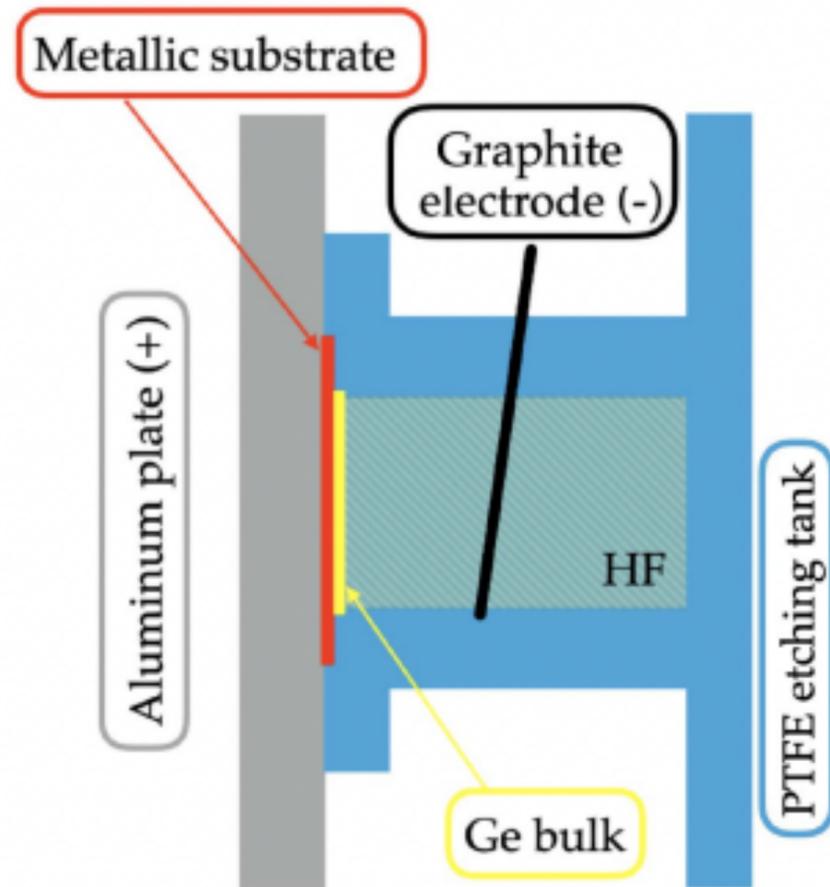
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- dark grey circle: bulk germanium (d=2.8 cm)
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- green circle: anode area (d=1.5 cm)

Etching solution:

HF (50 % w / w in H₂O): ethanol

ELECTROCHEMICAL ETCHING

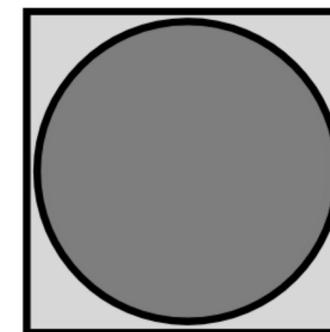
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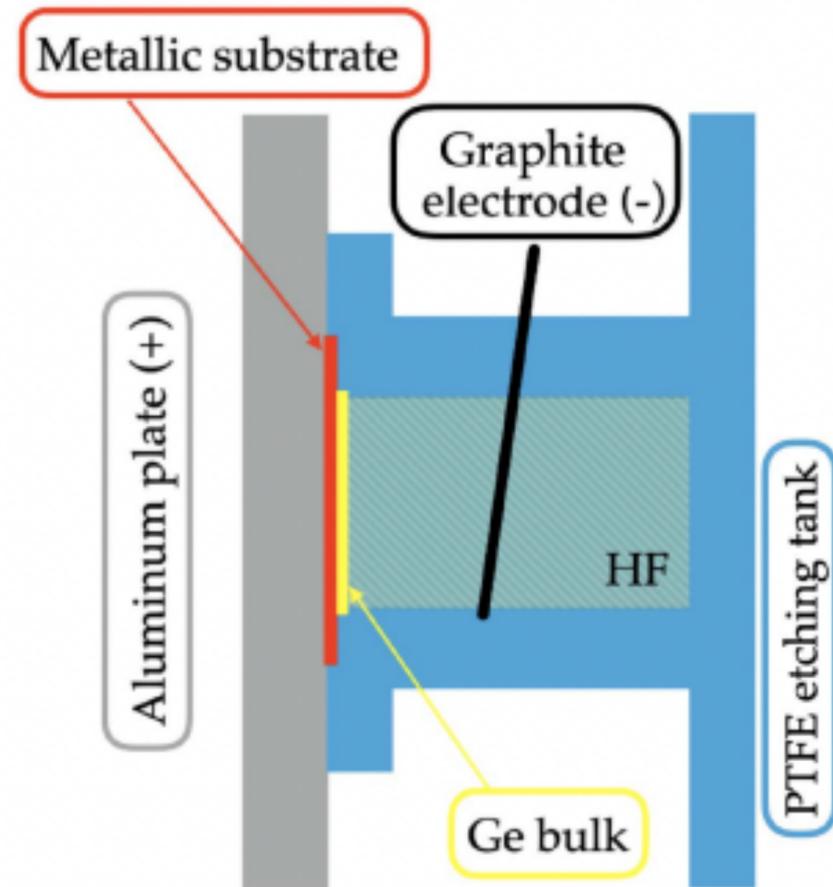
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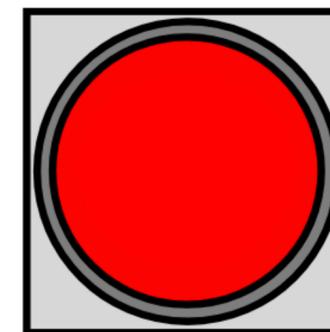
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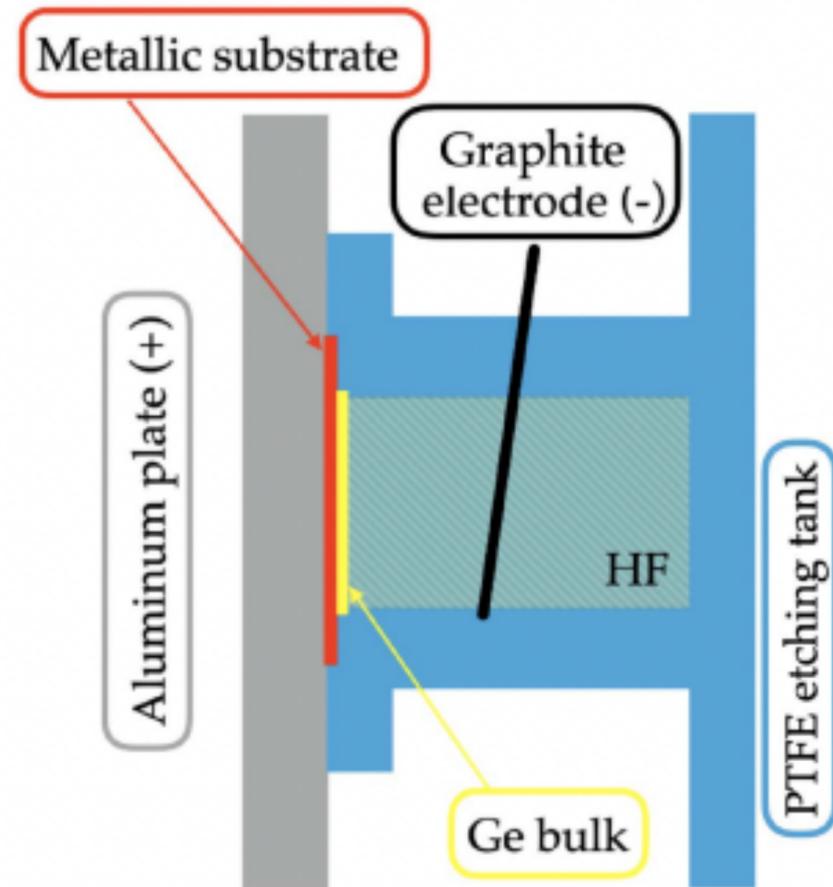
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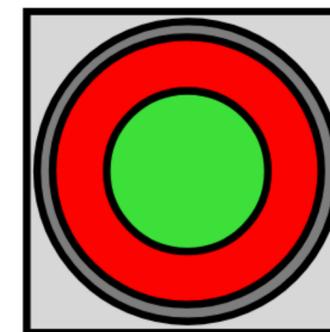
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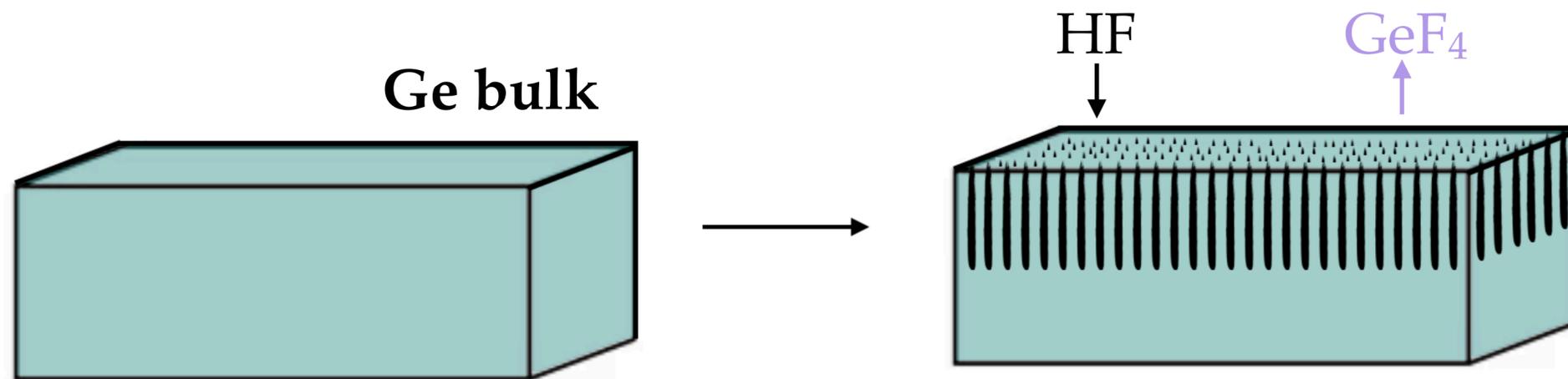
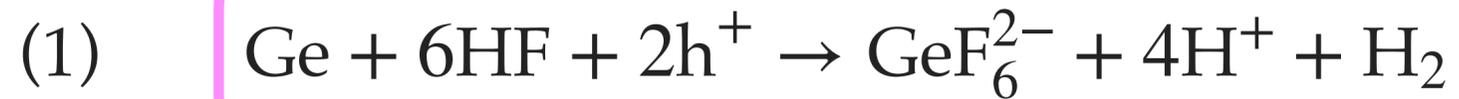
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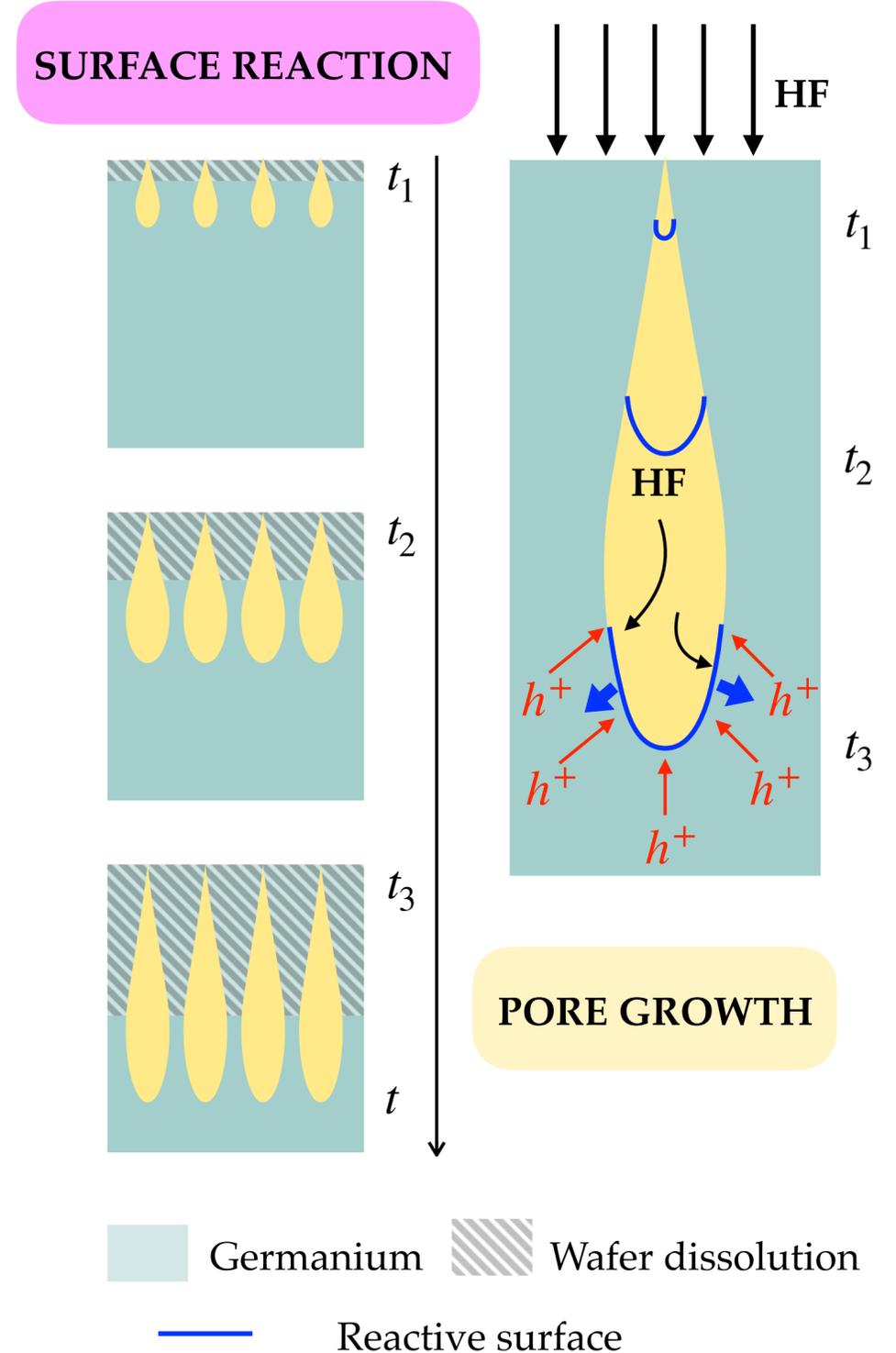
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GE ANODIC DISSOLUTION IN HF – THEORETICAL MODEL [2]

Divalent and tetravalent dissolution processes



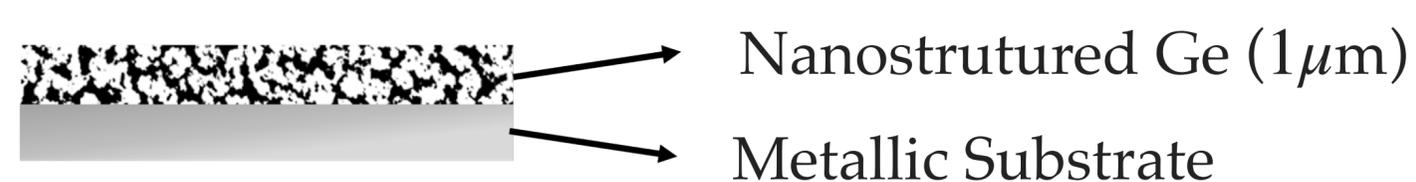
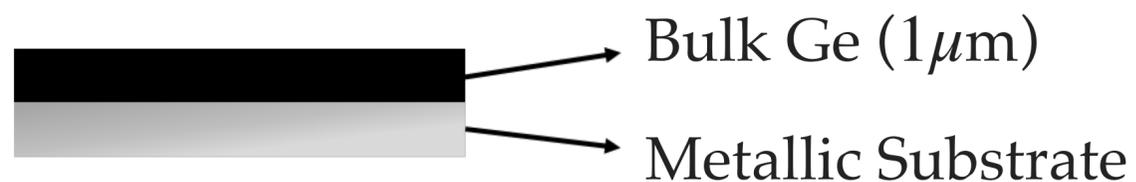
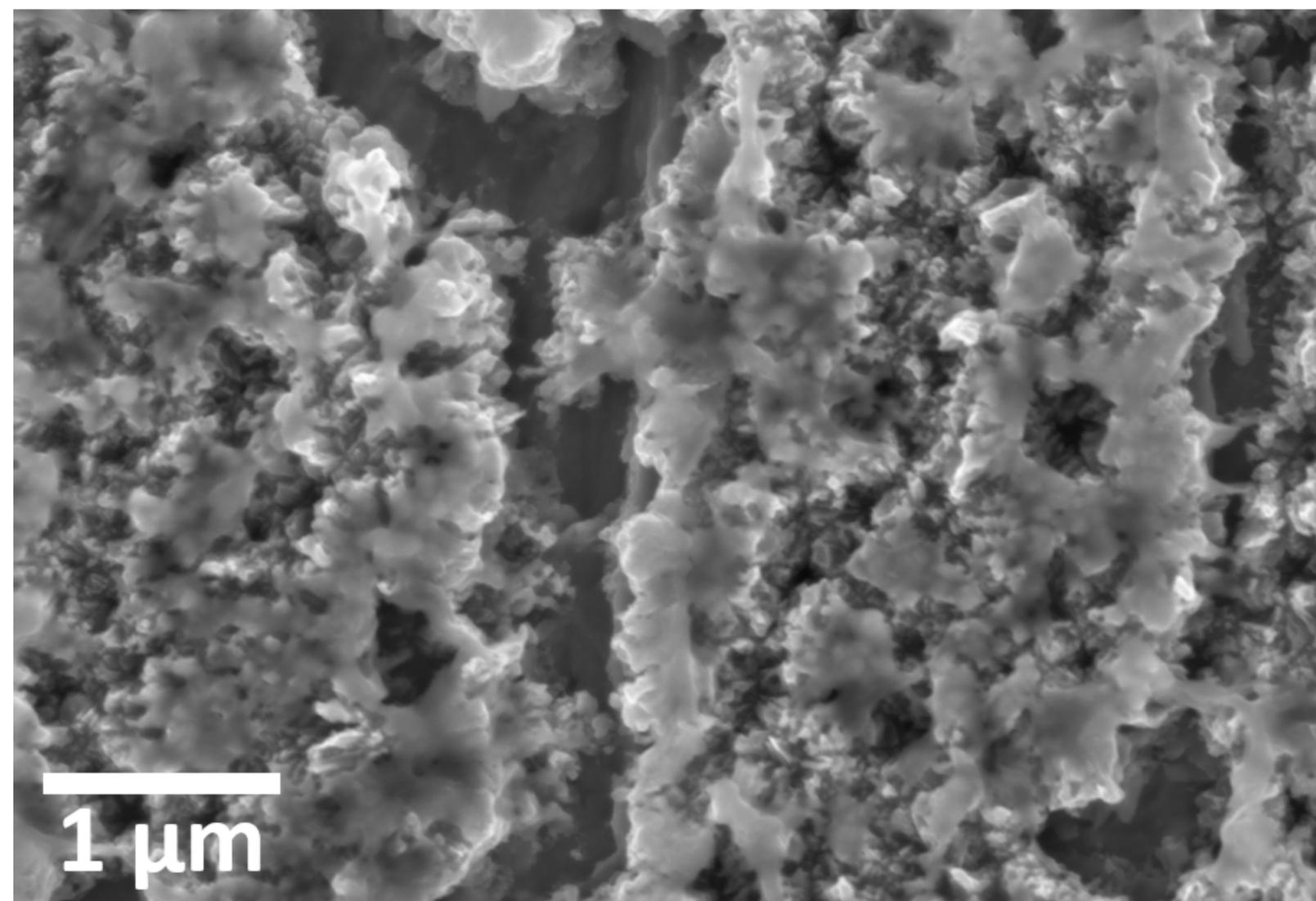
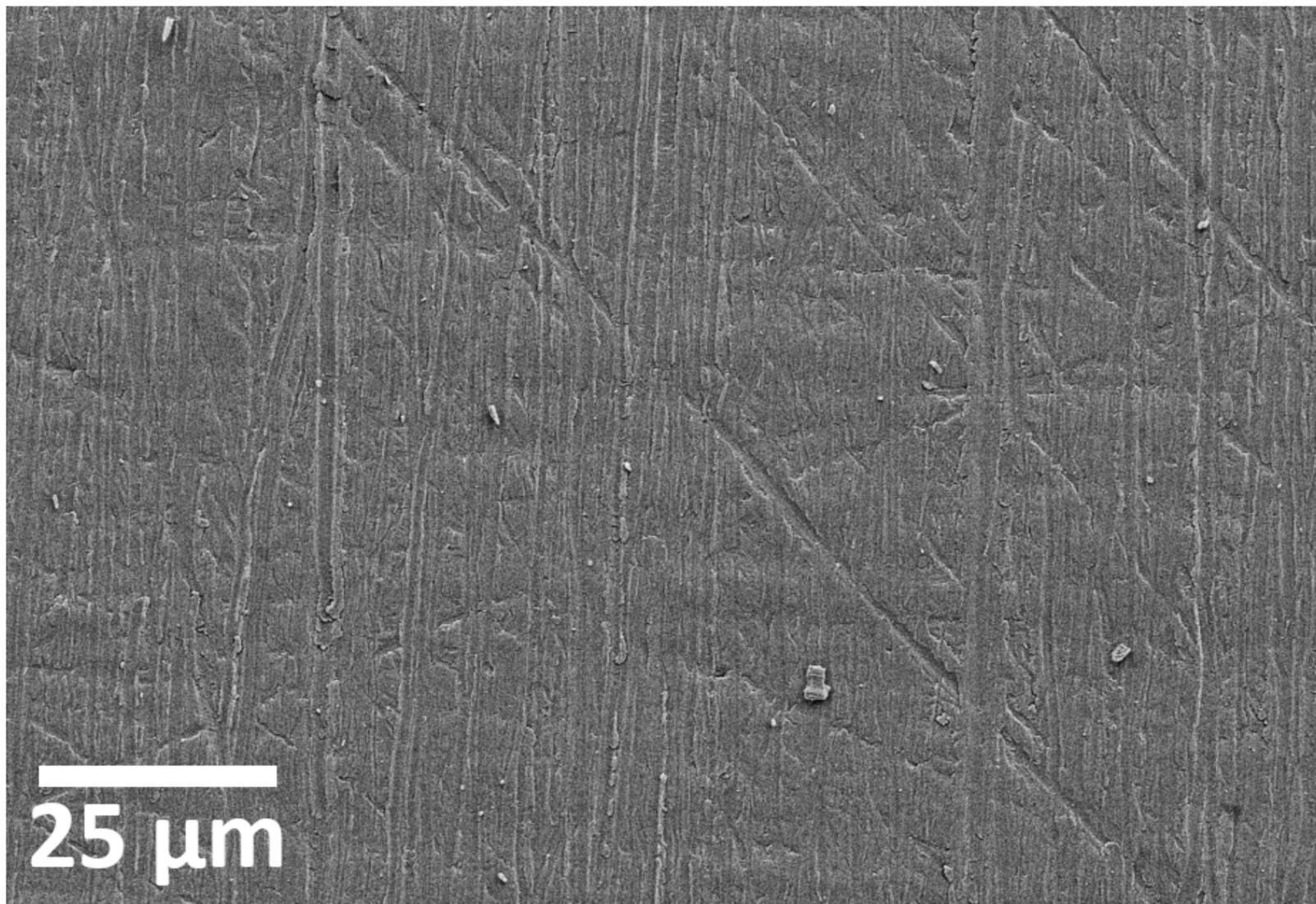
Adapted from Dupuy et al., *Electrochimica Acta* (2021), <https://doi.org/10.1016/j.electacta.2021.137935>



MO SAMPLE

GE BULK

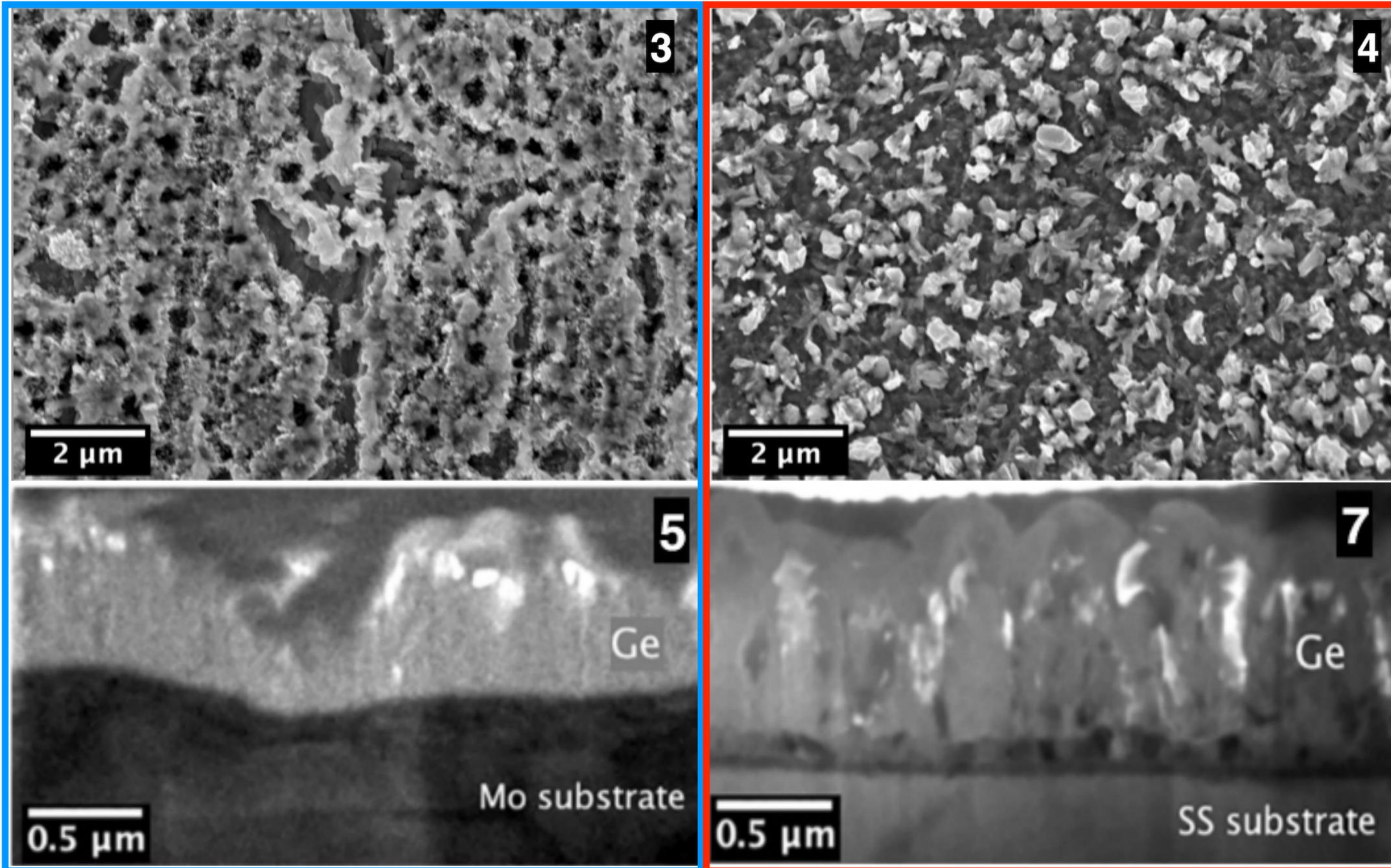
GE NANO-STRUCTURED



V. Diolaiti, A. Andreoli et al, IEEE International Conference on Nanotechnology, (2022) DOI: [10.1109/NANO54668.2022.9928666](https://doi.org/10.1109/NANO54668.2022.9928666)

MO SAMPLE

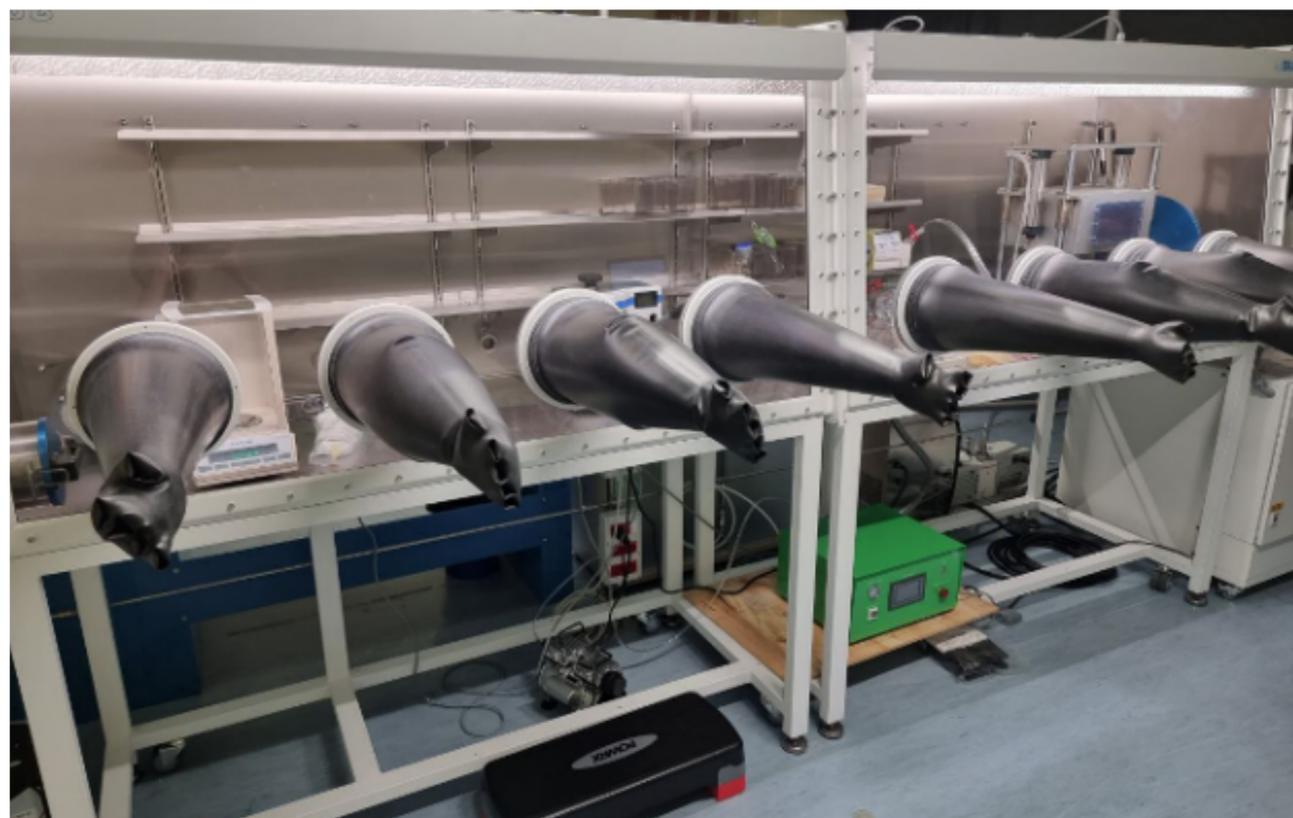
SS SAMPLE



SEM and cross-sectional TEM bright field images:

- Ge layer appears compact and uniform over the entire area
- Good adhesion of the film for both substrates
- Nanostructures along all the deposition thickness

V. Diolaiti, A. Andreoli et al, IEEE TNANO Vol 22, (2023) DOI: [10.1109/TNANO.2023.3311757](https://doi.org/10.1109/TNANO.2023.3311757)



Ar-filled MBraun glovebox

LP30

+

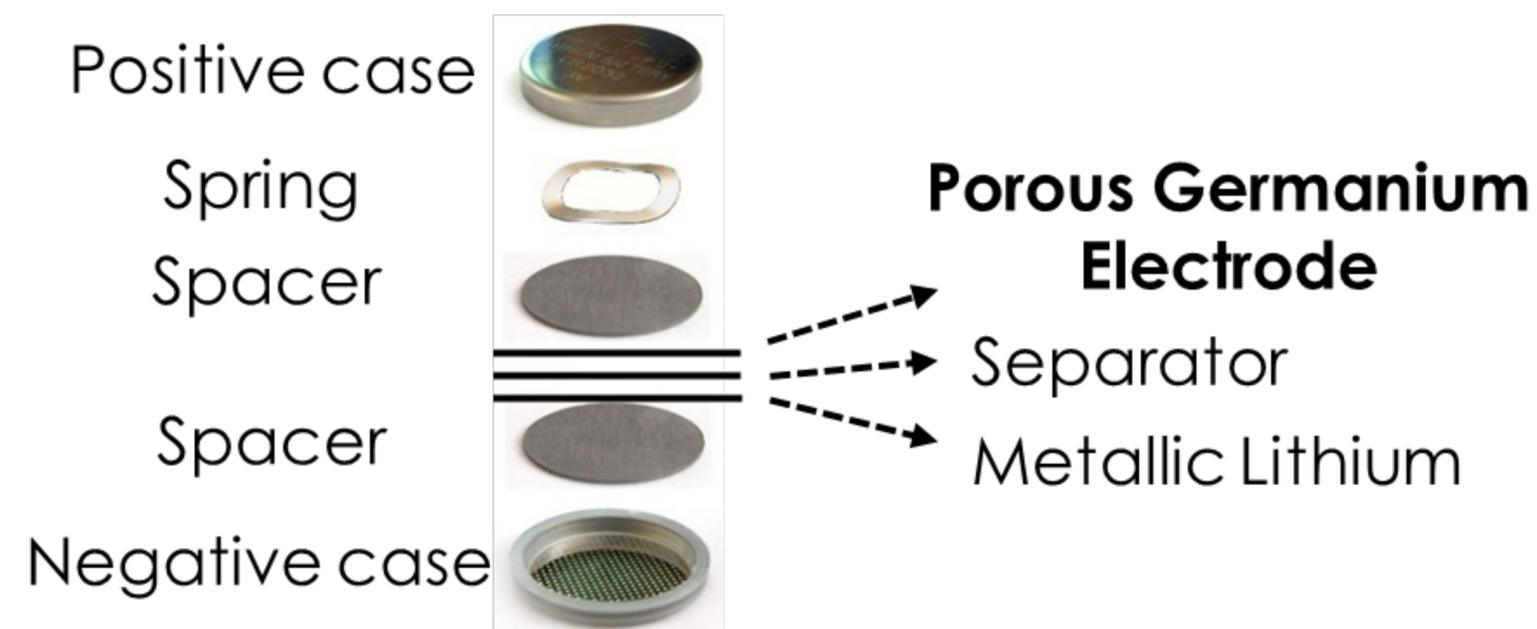
FEC additive

(fluoroethylene carbonate)

Mass loadings ~ 0.3 mg
for $1 \mu\text{m}$ Ge film



HALF CELL CONFIGURATION FOR A CR2032 CELL



LP30 : 1M LiPF_6 in EC:DMC=1:1 vol

Solvent in the electrolyte:

DMC : dimethyl carbonate $[\text{M}+\text{H}+\text{MeOH}]^+$

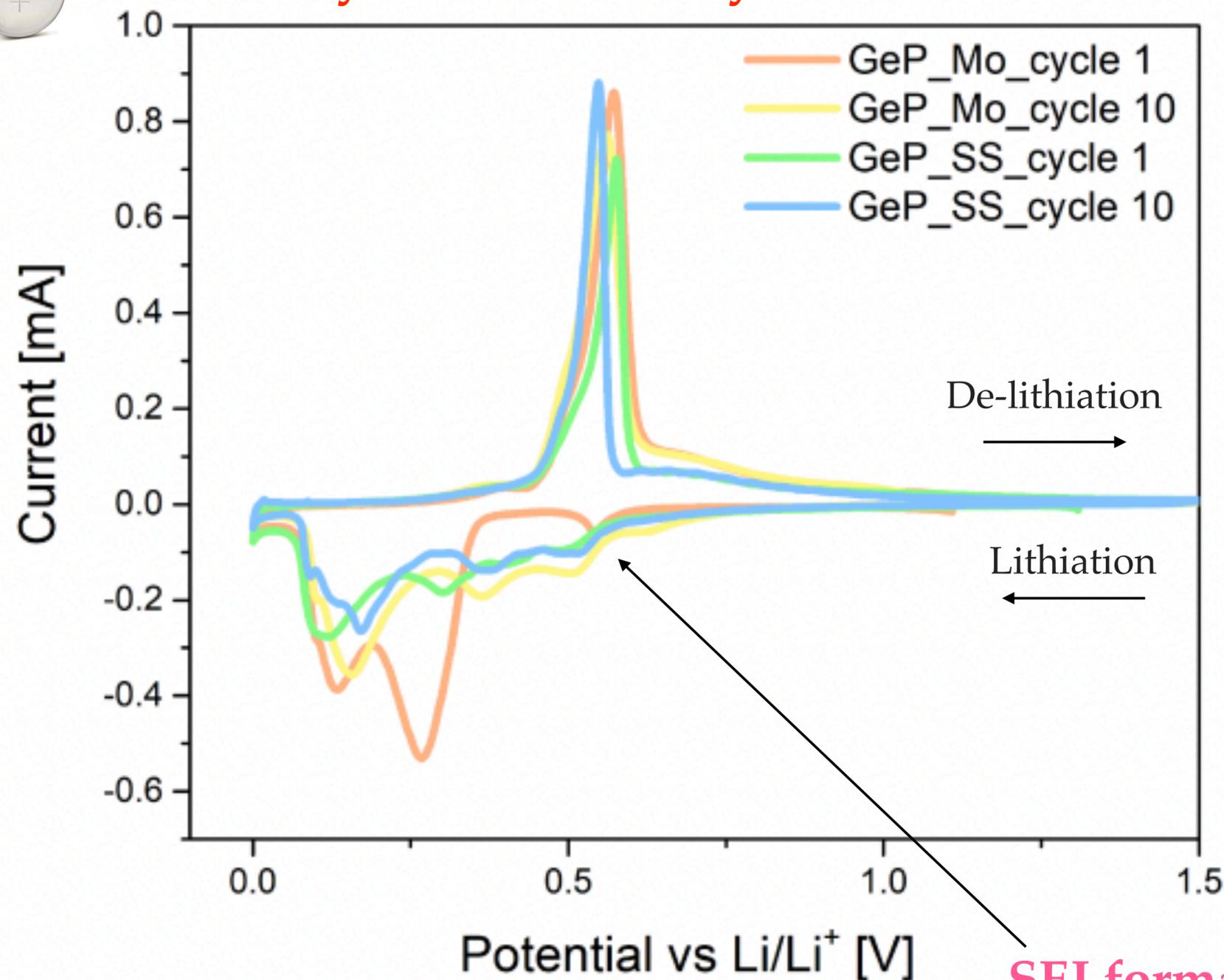
EC : ethylene carbonate $[\text{M}+\text{H}]^+$

Conducting salt LiPF_6 lithium hexafluorophosphate



Cyclic voltammetry on SS and Mo

$V \in [0.01 - 1.5] V$



In **cathodic scan**:

@ $V = 0.6 V$ delithiation of the Li_xGe phases into aGe

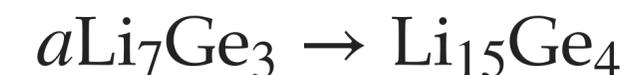
In **anodic scan**:

@ $V \sim 0.25 V$: $cGe \rightarrow Li_7Ge_3$

@ lower V structural transformation



$V \in [0.15 \div 0] V$ conversion



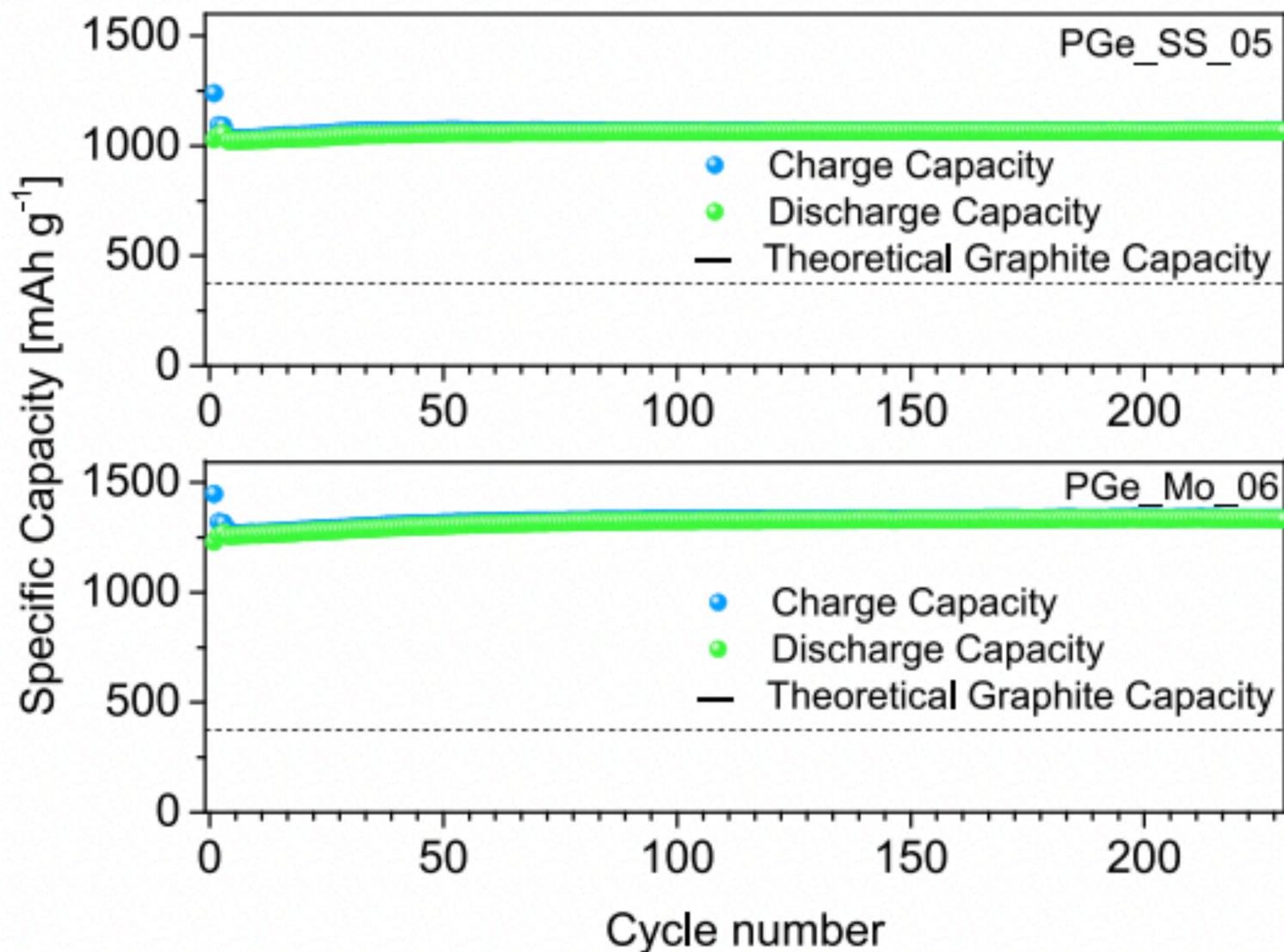
V. Diolaiti, A. Andreoli et al, IEEE TNANO Vol 22, (2023) DOI: [10.1109/TNANO.2023.3311757](https://doi.org/10.1109/TNANO.2023.3311757)

THANK TO SILVIO FUGATTINI FOR THIS MEASUREMENT

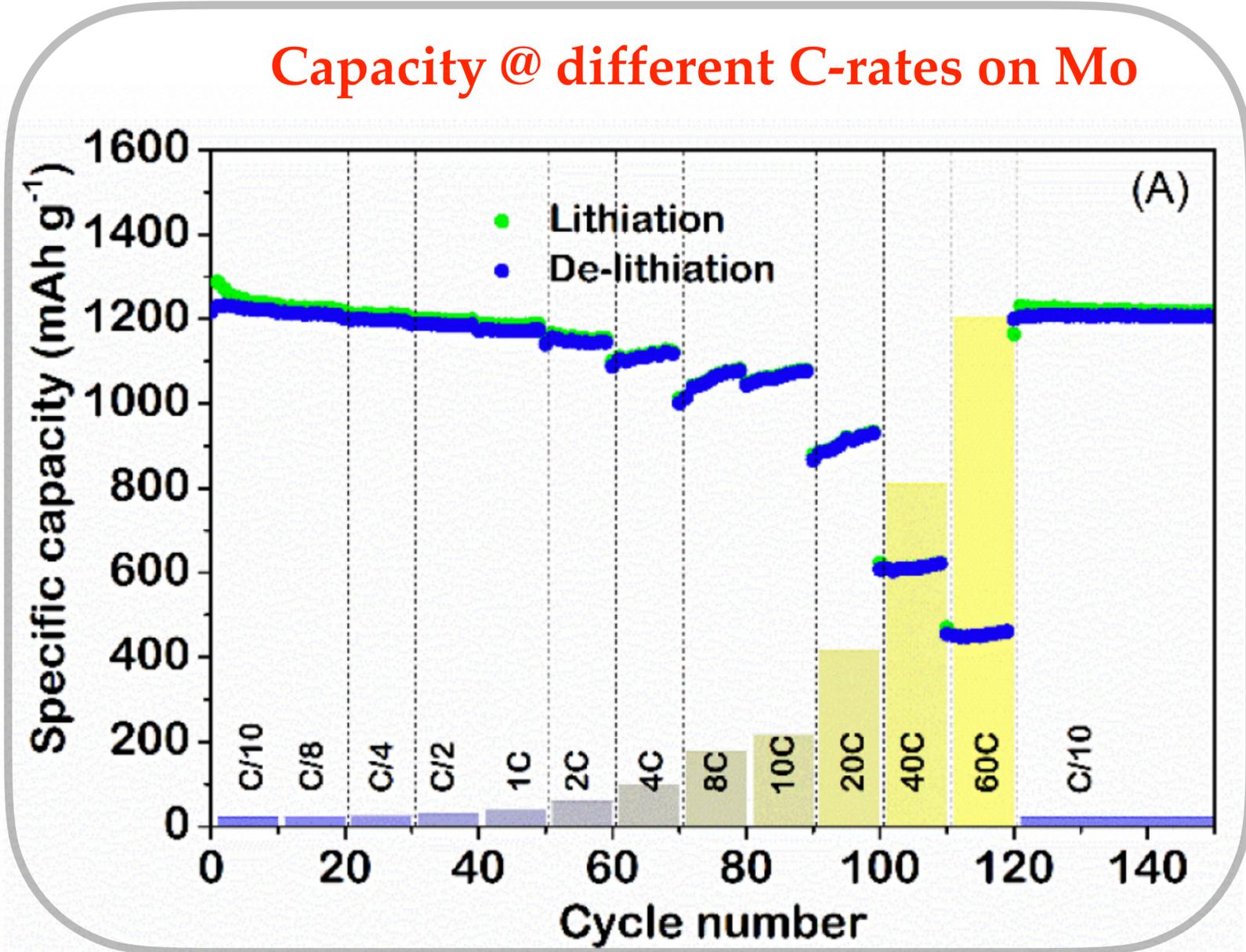


INTERESTING FOR AEROSPACE APPLICATIONS!!

Capacity @ 1C on SS and Mo



Capacity @ different C-rates on Mo



V. Diolaiti, A. Andreoli et al, IEEE TNANO Vol 22, (2023) DOI: [10.1109/TNANO.2023.3311757](https://doi.org/10.1109/TNANO.2023.3311757)

S. Fugattini, A. Andreoli et al, Electrochimica Acta, Volume 411 (2022) 139832, ISSN 0013-4686 <https://doi.org/10.1016/j.electacta.2022.139832>

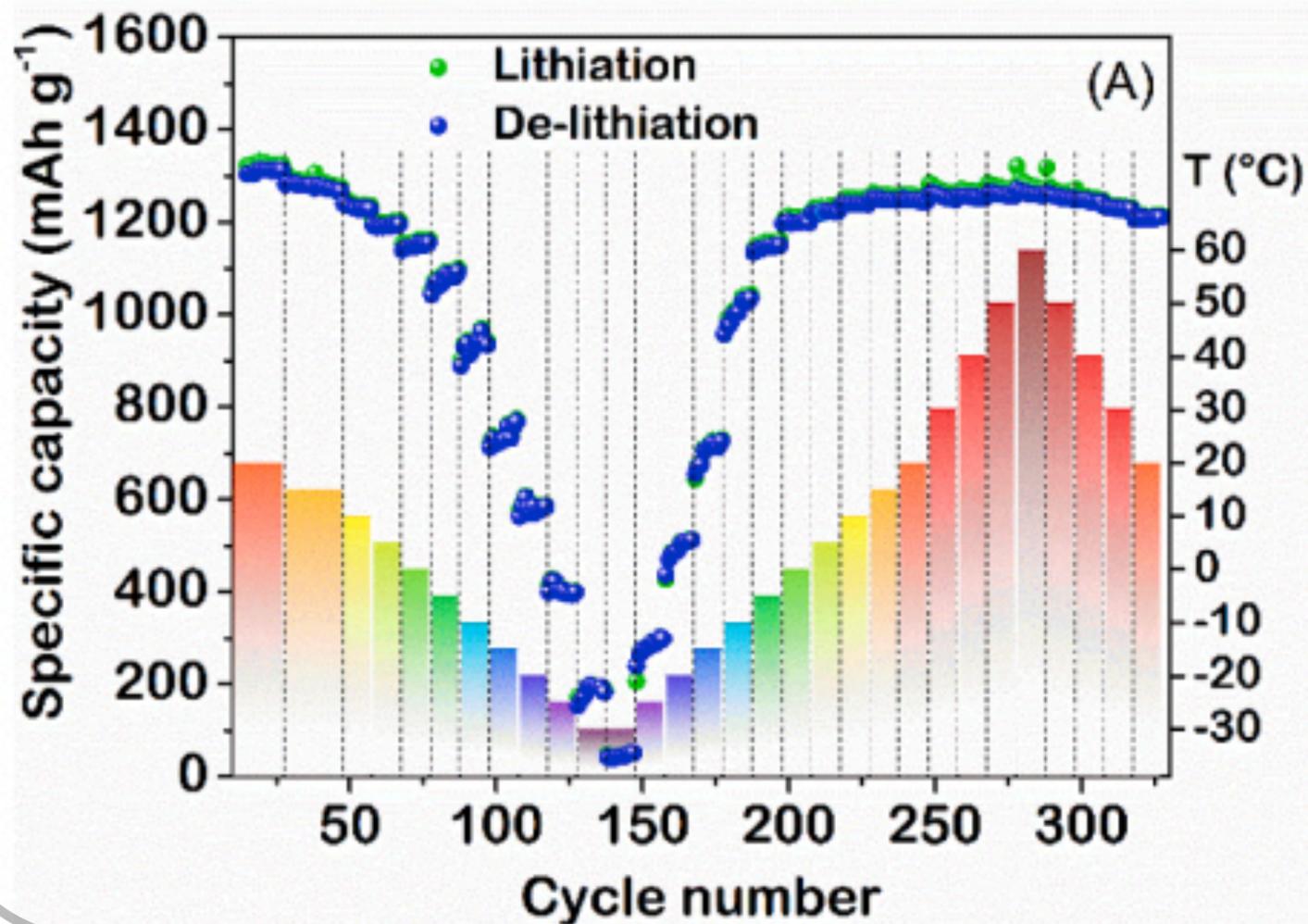


THANK TO SILVIO FUGATTINI FOR THESE MEASUREMENTS

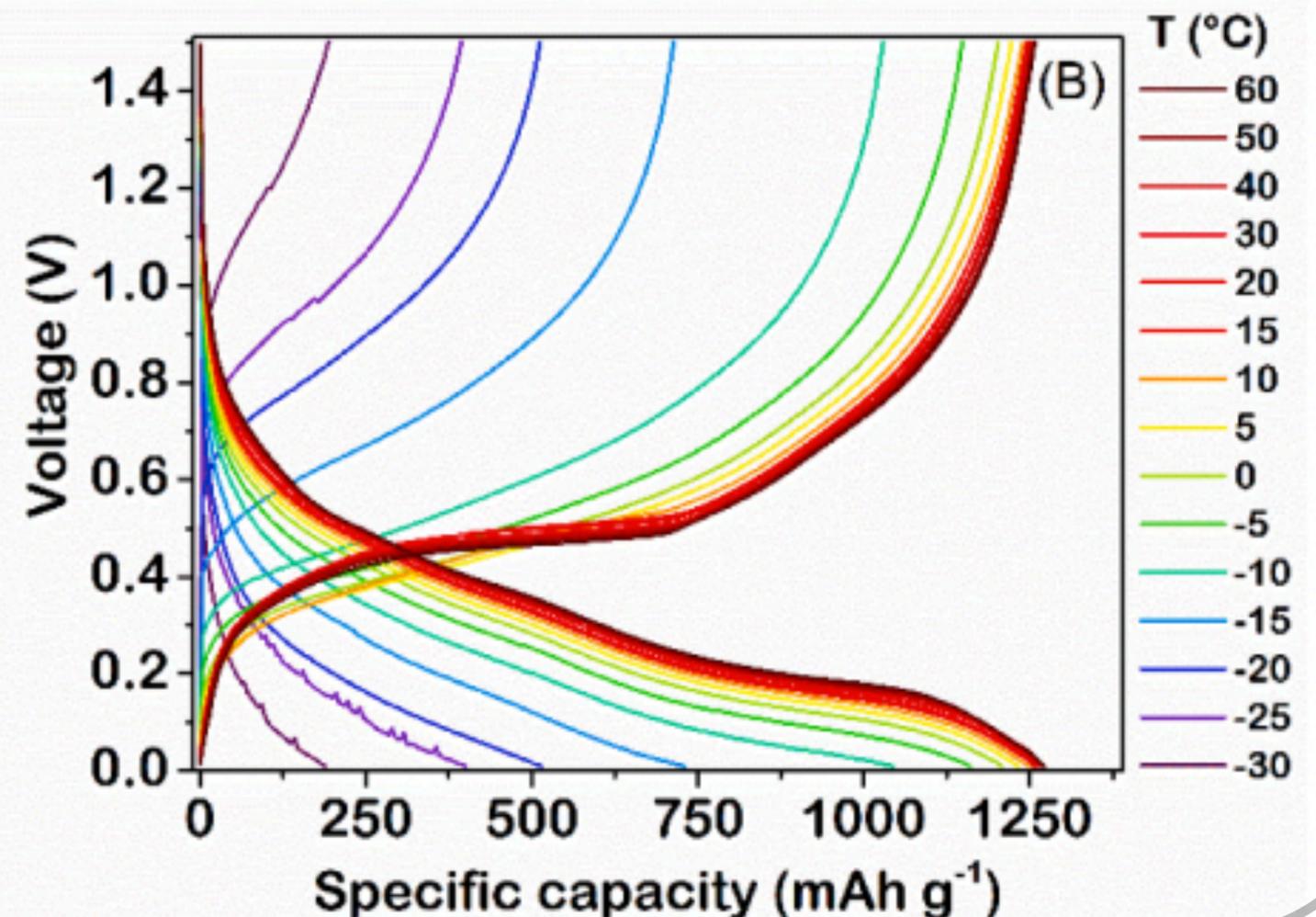


Temperature investigation: both plots show the performance of a porous Ge based electrode cycled @ 1C

Capacity vs Cycle number



Charge/discharge profiles



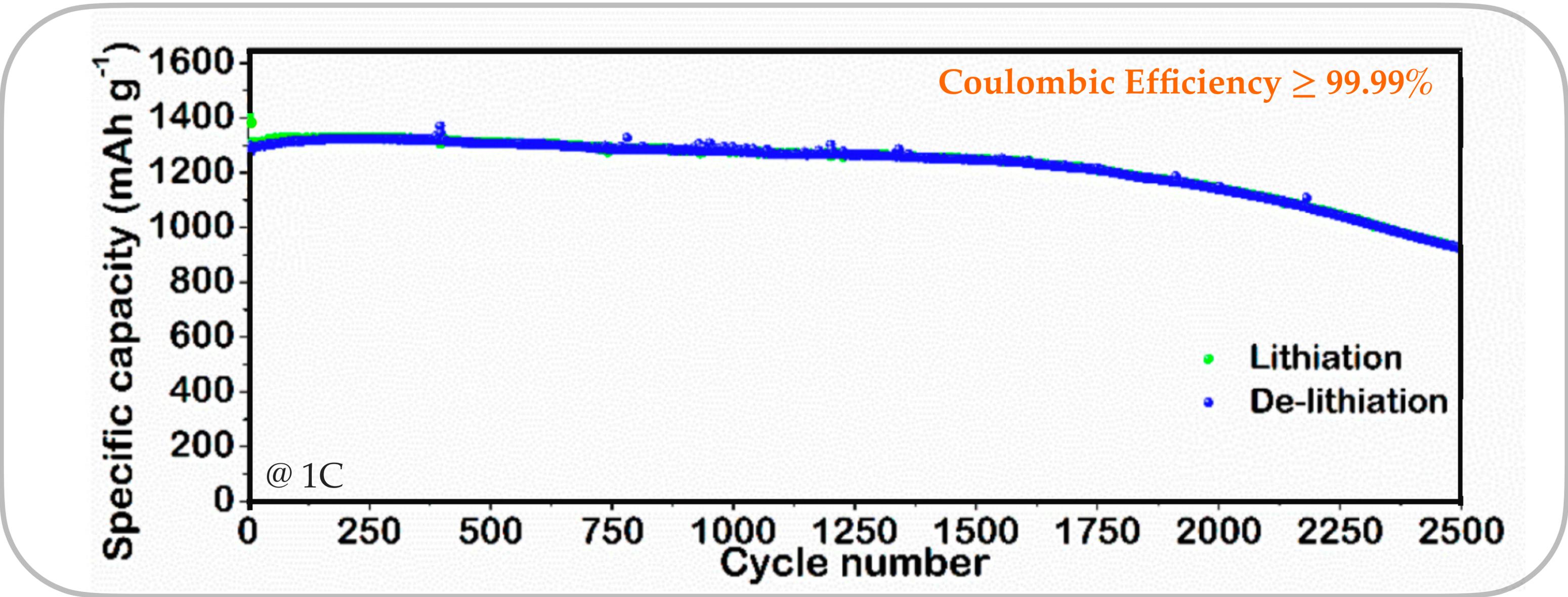
S. Fugattini, A. Andreoli et Al, *Electrochimica Acta*, Volume 411 (2022) 139832, ISSN 0013-4686 <https://doi.org/10.1016/j.electacta.2022.139832>



THANK TO SILVIO FUGATTINI FOR THIS MEASUREMENT



BEST RESULT ACHIEVED SO FAR!



S. Fugattini, A. Andreoli et Al, Electrochimica Acta, Volume 411 (2022) 139832, ISSN 0013-4686 <https://doi.org/10.1016/j.electacta.2022.139832>

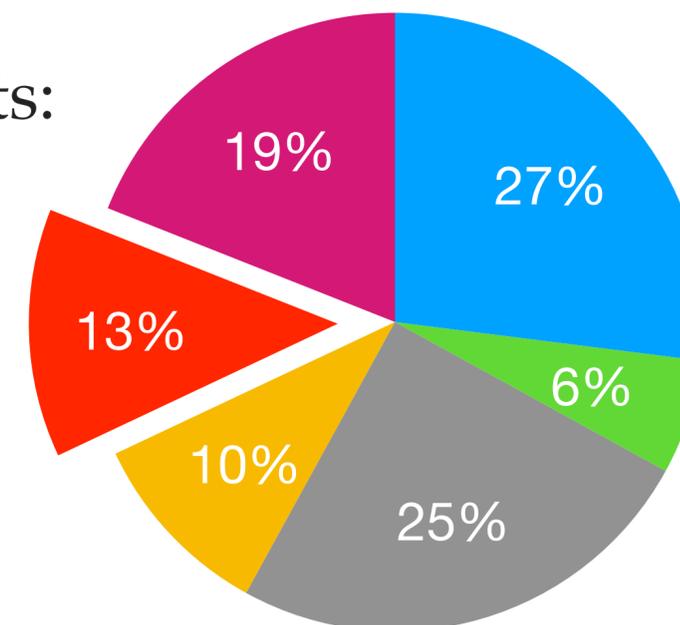


The here proposed Porous Germanium anode shows promising results:

- ▶ Specific capacity over 3x higher than standard graphite



only 1/3 of the active material is needed



[1]

- Case
- Cathode CC
- Cathode Material
- Anode CC
- Anode Material
- Separator & electrolyte

From [1] the total cell mass of a LFP | Graphite 18650 cell is 38.8 g
→ 5.04 g Graphite



only 1.68 g of Germanium

Saving 8.7% of weight!

[1] Adapted from RSC Adv., 2014,4, 3633–3642 . DOI: [10.1039/c3ra45748f](https://doi.org/10.1039/c3ra45748f)

CONCLUSIONS

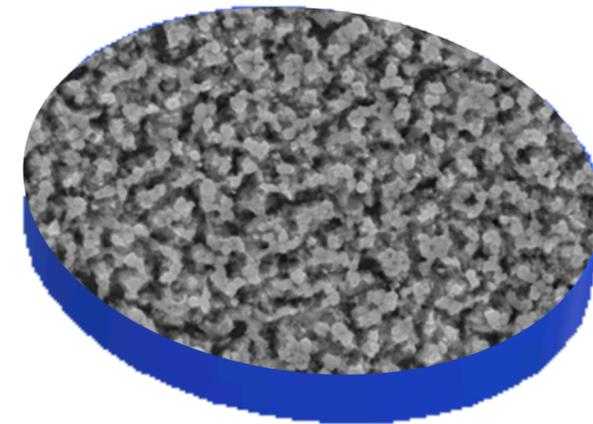
The here proposed Porous Germanium anode shows promising results:

- ▶ Specific capacity over **3x higher than standard graphite**
- ▶ Impressive stability for hundreds of cycles
- ▶ High rate capability

RELIABLE & HIGH-PERFORMING

NEXT STEPS

- ▶ Mass loading increase
- ▶ Coin and pouch full cells
- ▶ SEI formation mechanisms





Agenzia Spaziale Italiana



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Alfredo Andreoli - UNIFE



Valentina Diolaiti - UNIFE



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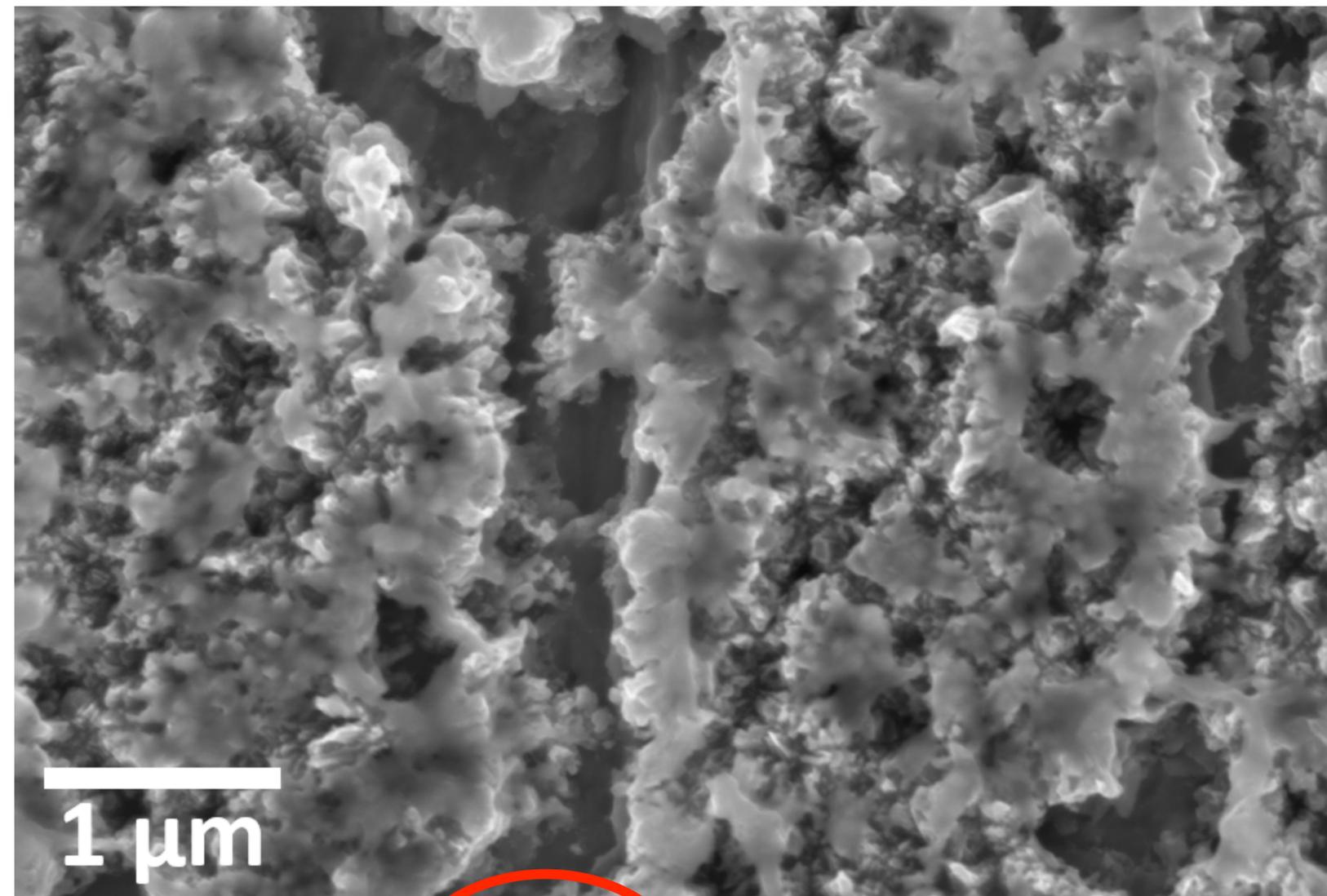
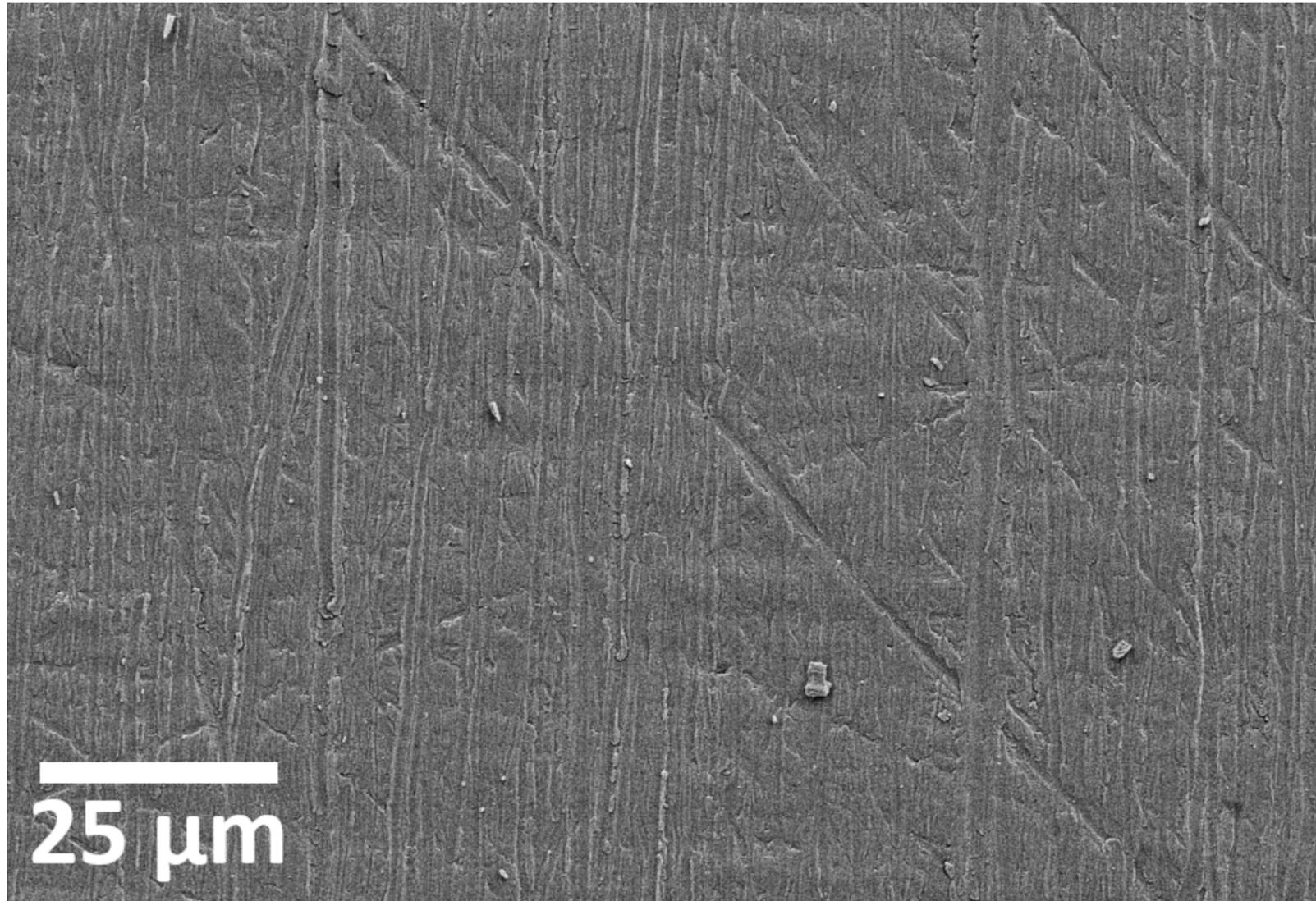
THANK YOU FOR YOUR ATTENTION!

BACKUP SLIDES

MO SAMPLE

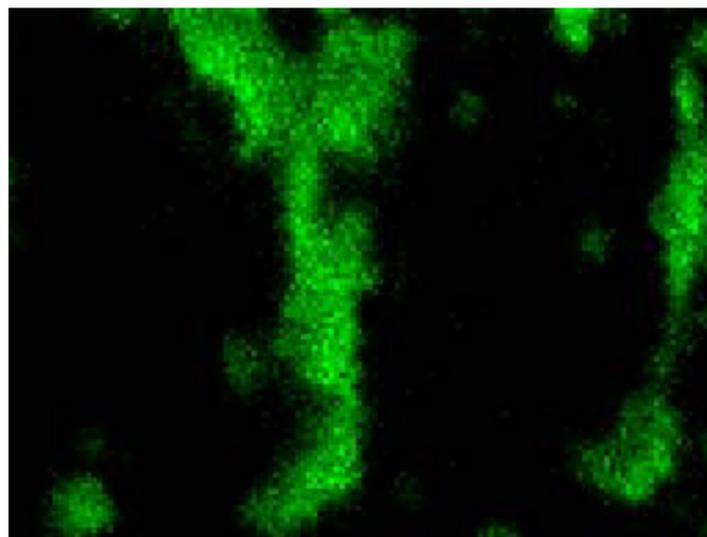
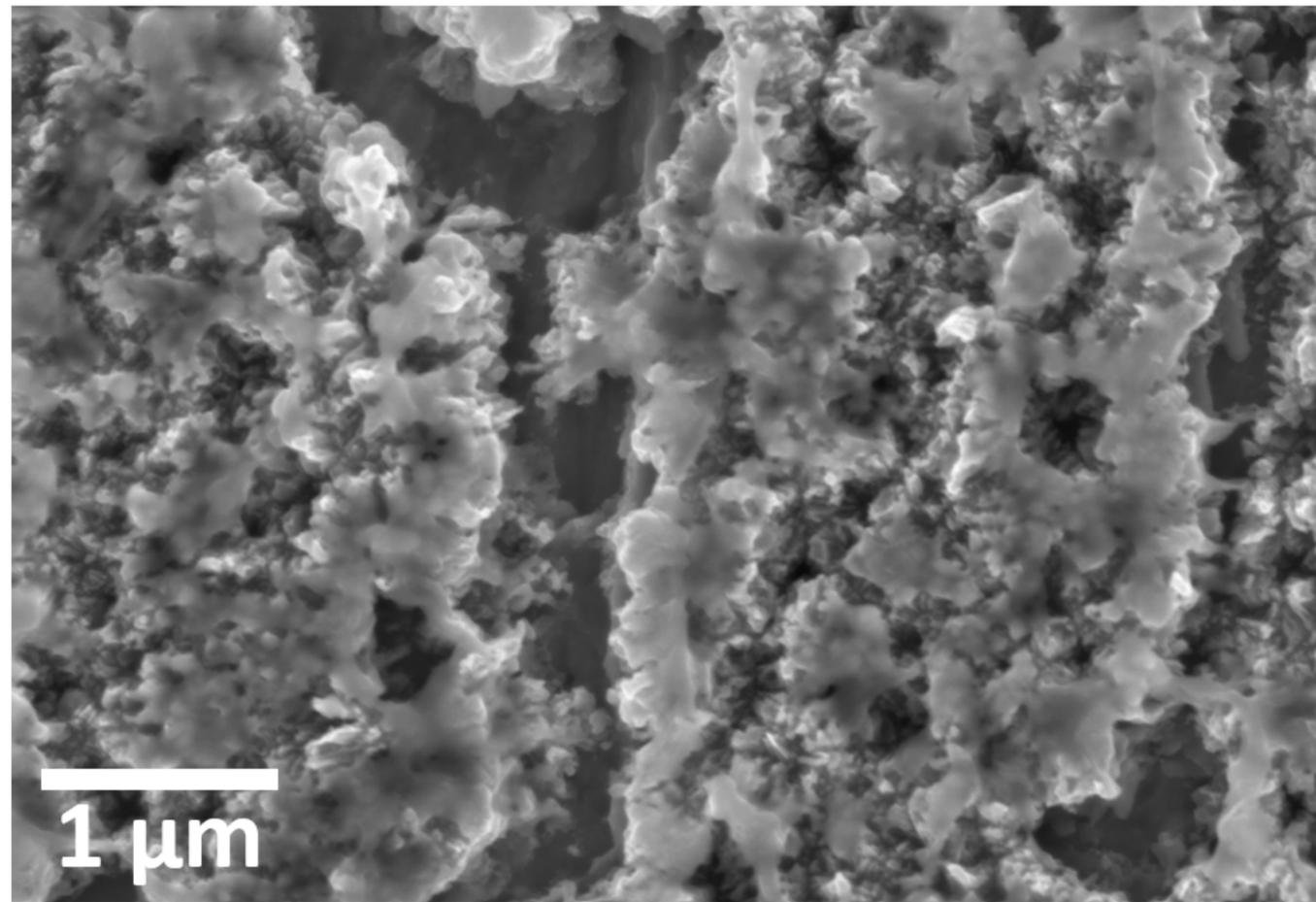
GE BULK

GE NANO-STRUCTURED

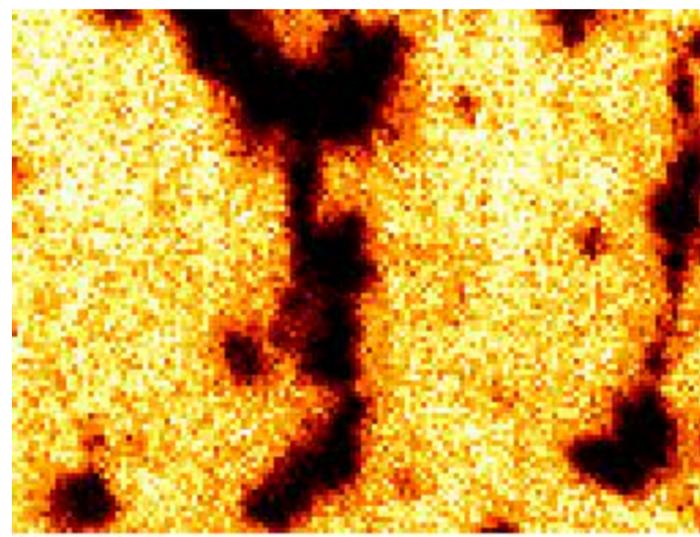


$$\text{Porosity} = \frac{\text{void volume}}{\text{layer total volume}} = \frac{\text{mass removed}}{\text{total mass}} \sim 70\%$$

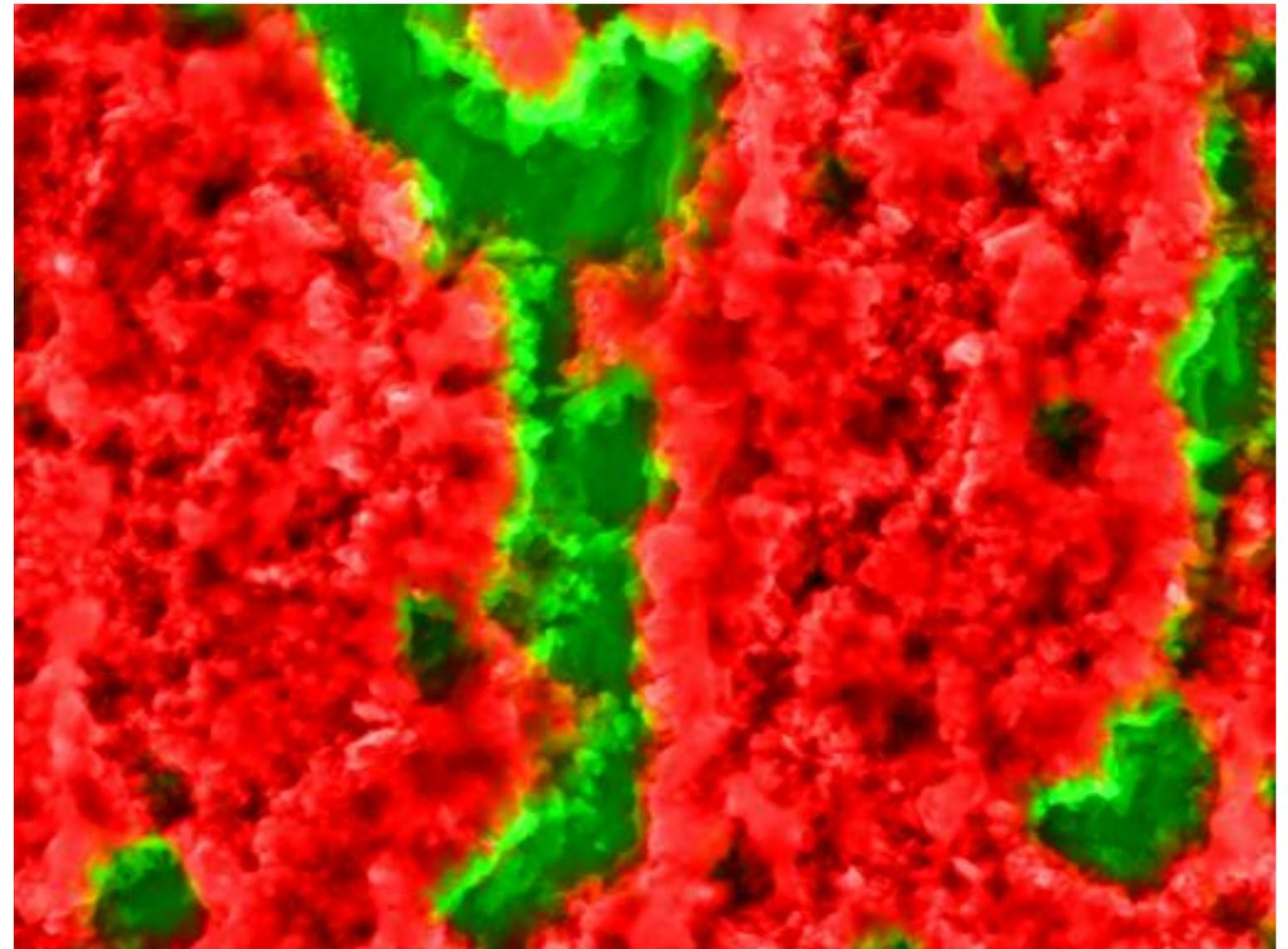
SEM-EDX ANALYSIS



Mo La1



Ge La1_2

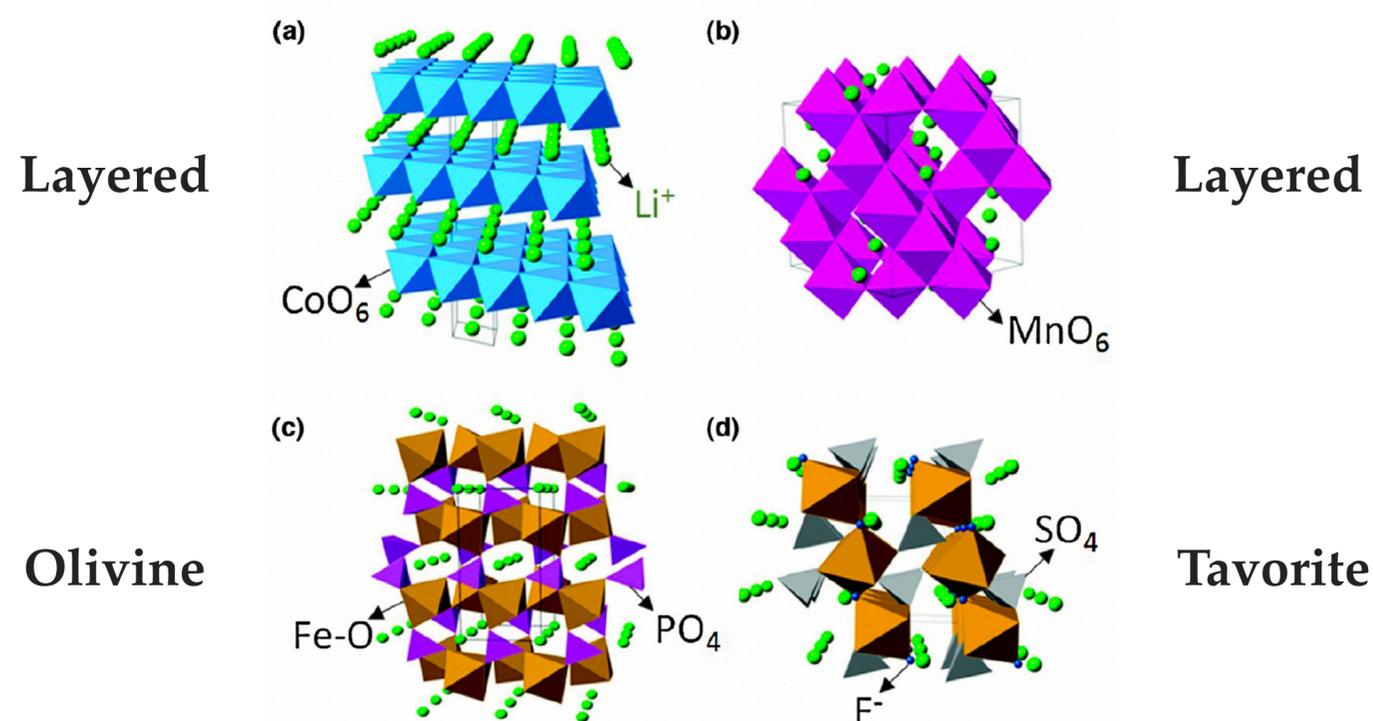


3 μm

CATHODE MATERIALS

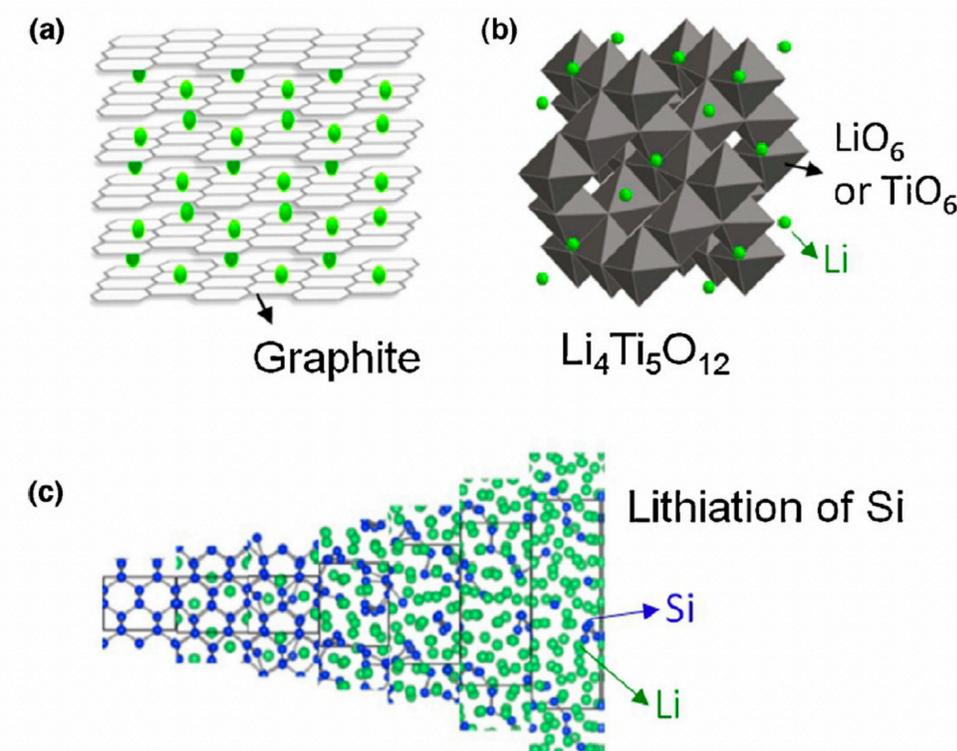
An intercalation cathode is a solid host which can store guest ions which can be inserted and removed in a reversible way.

Crystal structures of representative intercalation cathodes

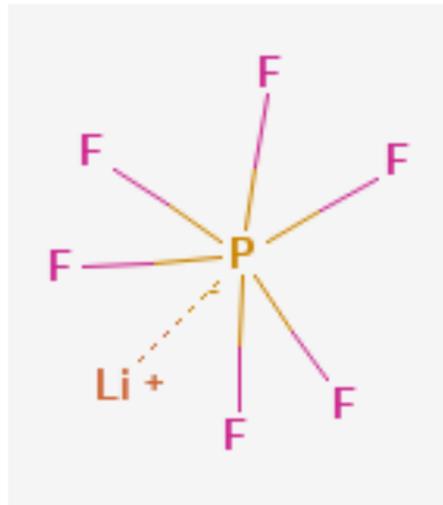


ANODE MATERIALS

Commercial LIB use graphite anodes which has a layered structure, but the anode material also includes Li-alloy



[4] Nitta et al, "Li-ion battery materials: present and future", <http://dx.doi.org/10.1016/j.mattod.2014.10.040>



The most used conducting salt is **LiPF₆**

✓ the protection of Al current collectors

✗ chemical and thermal instability in organic carbonates → electrolyte ageing

The formation of ageing products begins with the reaction with organic carbonate with PF₅.

The electrochemical reaction of the electrolyte compounds at the charge anode build a protective layer on the anode, the Solid Electrolyte Interface (SEI)

In battery applications one exploits:

- its high solubility in non-aqueous polar solvents
- Inertness of PF₆ anion towards strong reducing agents such as metallic Li

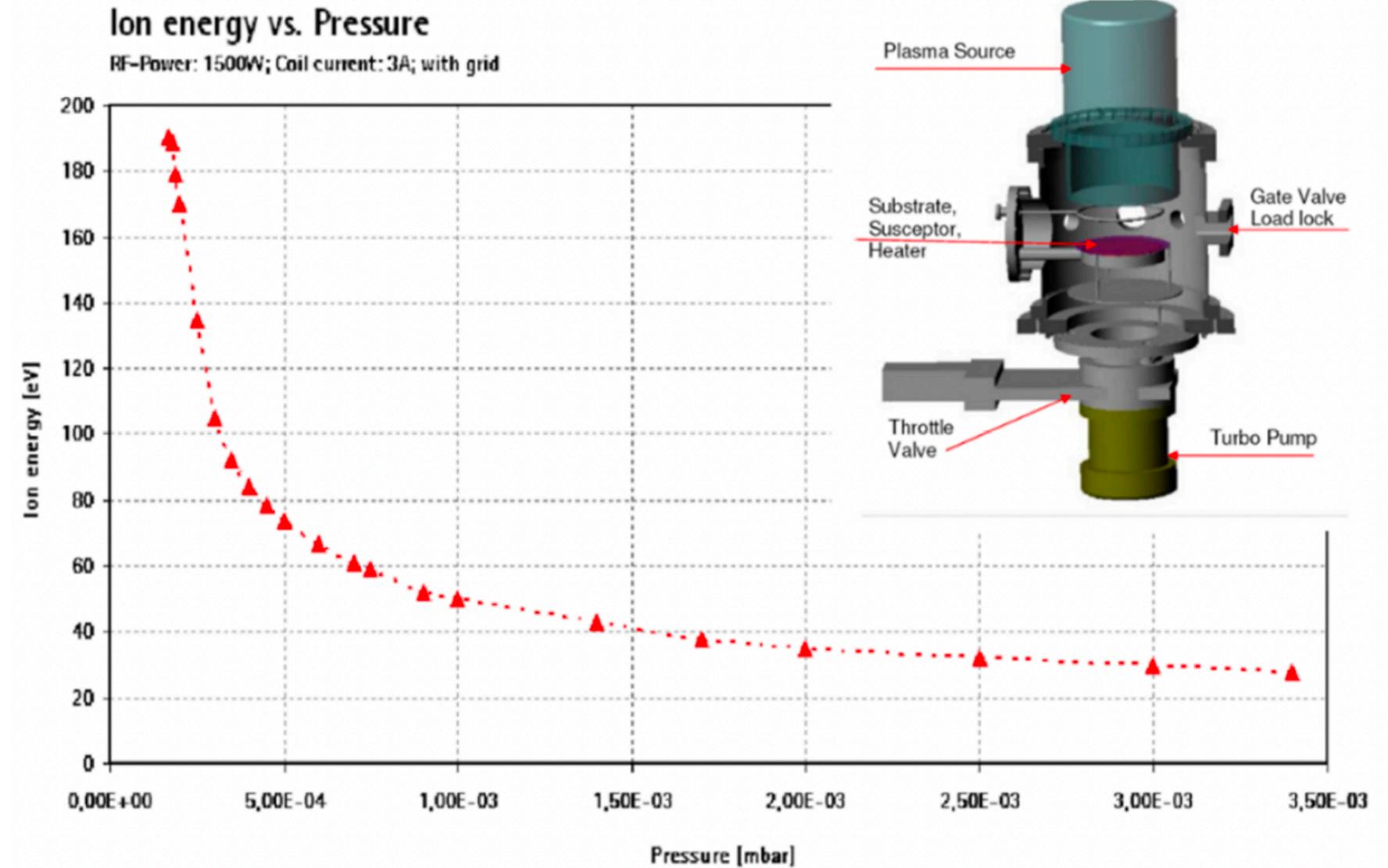
A low energy plasma is used to avoid damage to the crystal structure from high-energy ions.

The plasma is excited at a $\nu = 13.56$ MHz



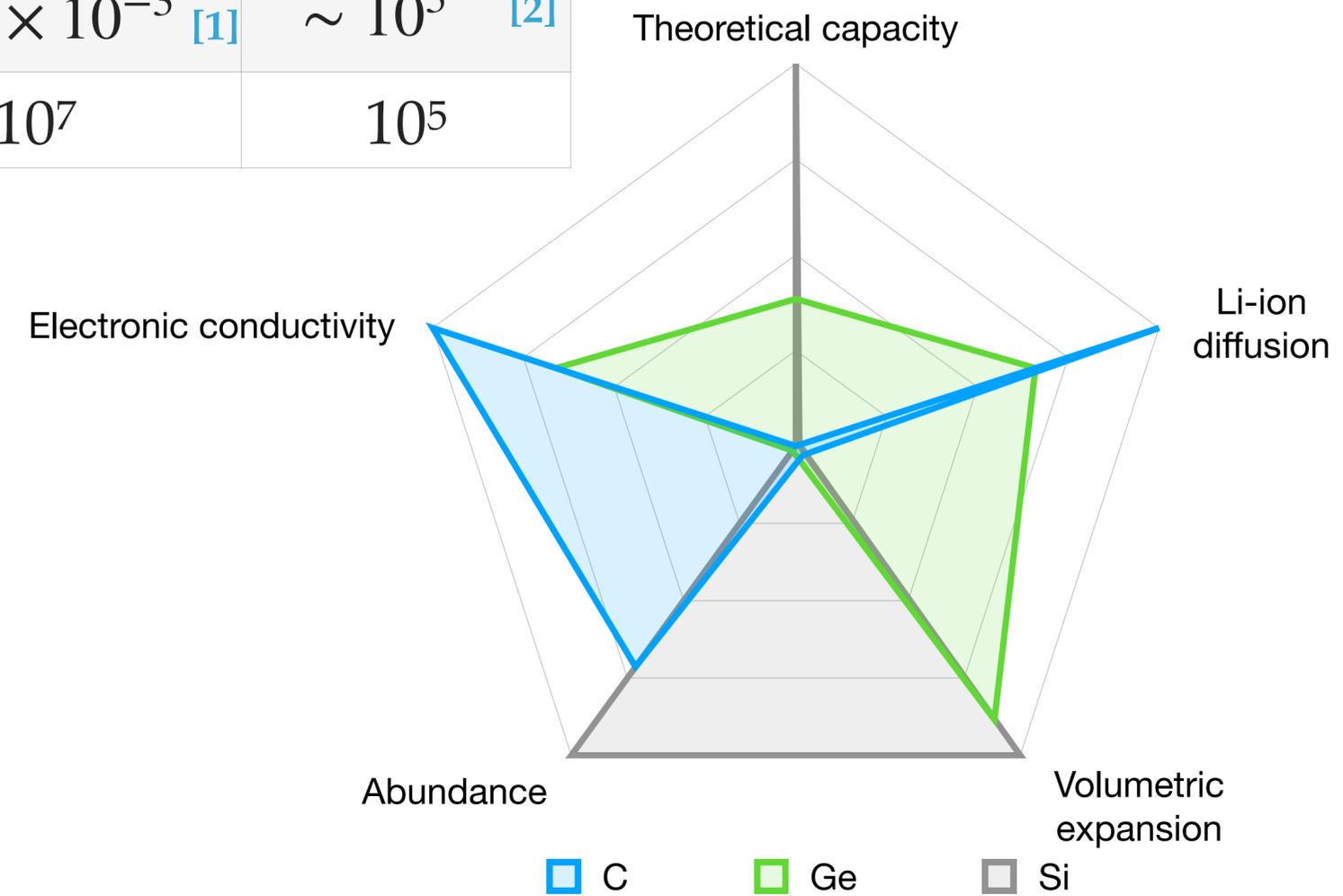
only e^- are effectively accelerated

energy transfer to the heavy particles occurs only via collision



BACK UPS - RADAR PLOT

	Ge	Si	C
Theoretical capacity [mAh/g] ^[1]	1624	4200	372
Volume expansion [%] ^[1]	370	420	12
Li ion diffusion [cm ² /s]	6.51×10^{-12} ^[1]	1.41×10^{-14} ^[1]	$\sim 10^{-11}$
Electronic conductivity [S/m]	2.1 ^[1]	1.6×10^{-3} ^[1]	$\sim 10^3$ ^[2]
Abundance	10^0	10^7	10^5

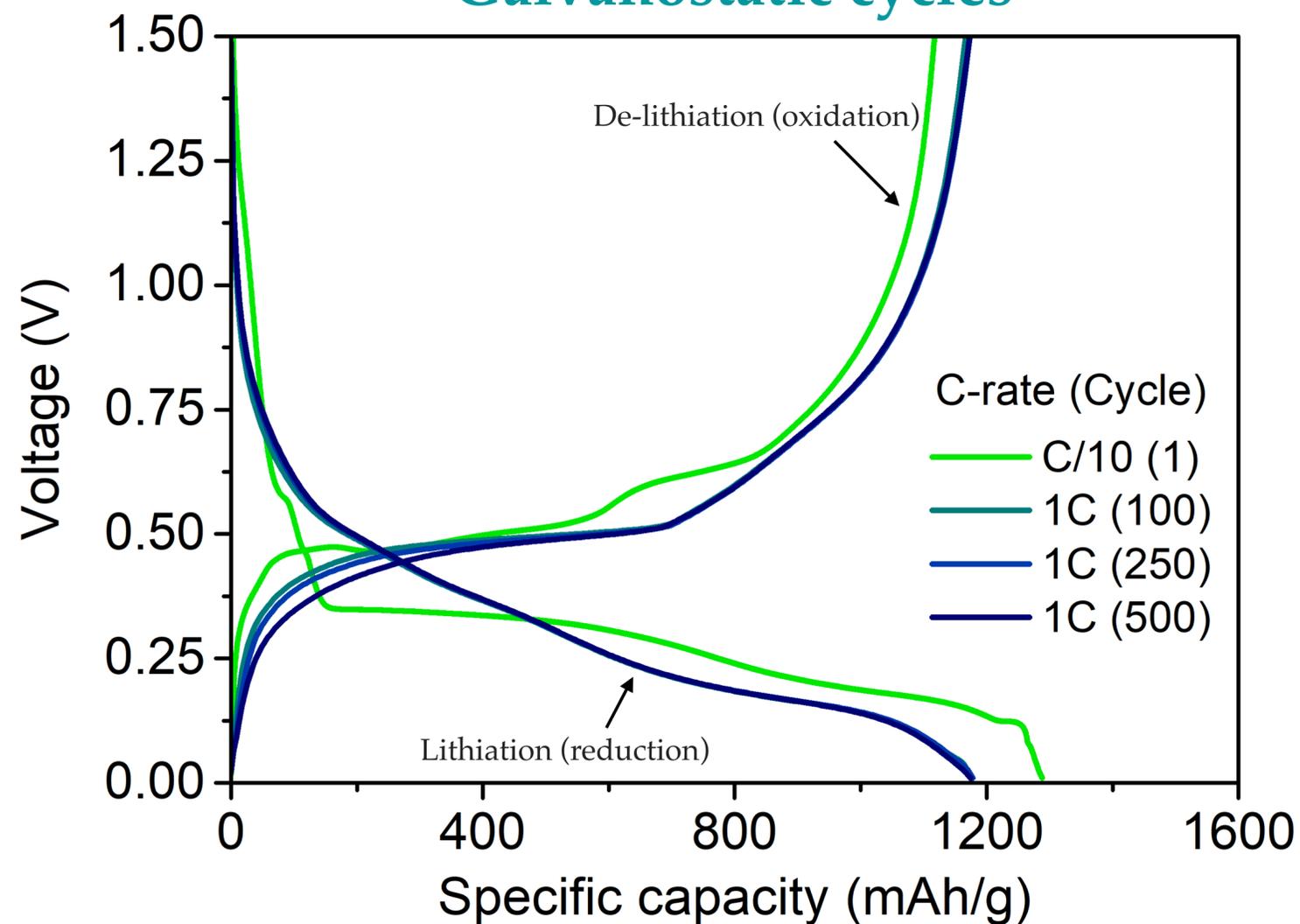


[1] <https://doi.org/10.1016/j.ensm.2020.05.010>

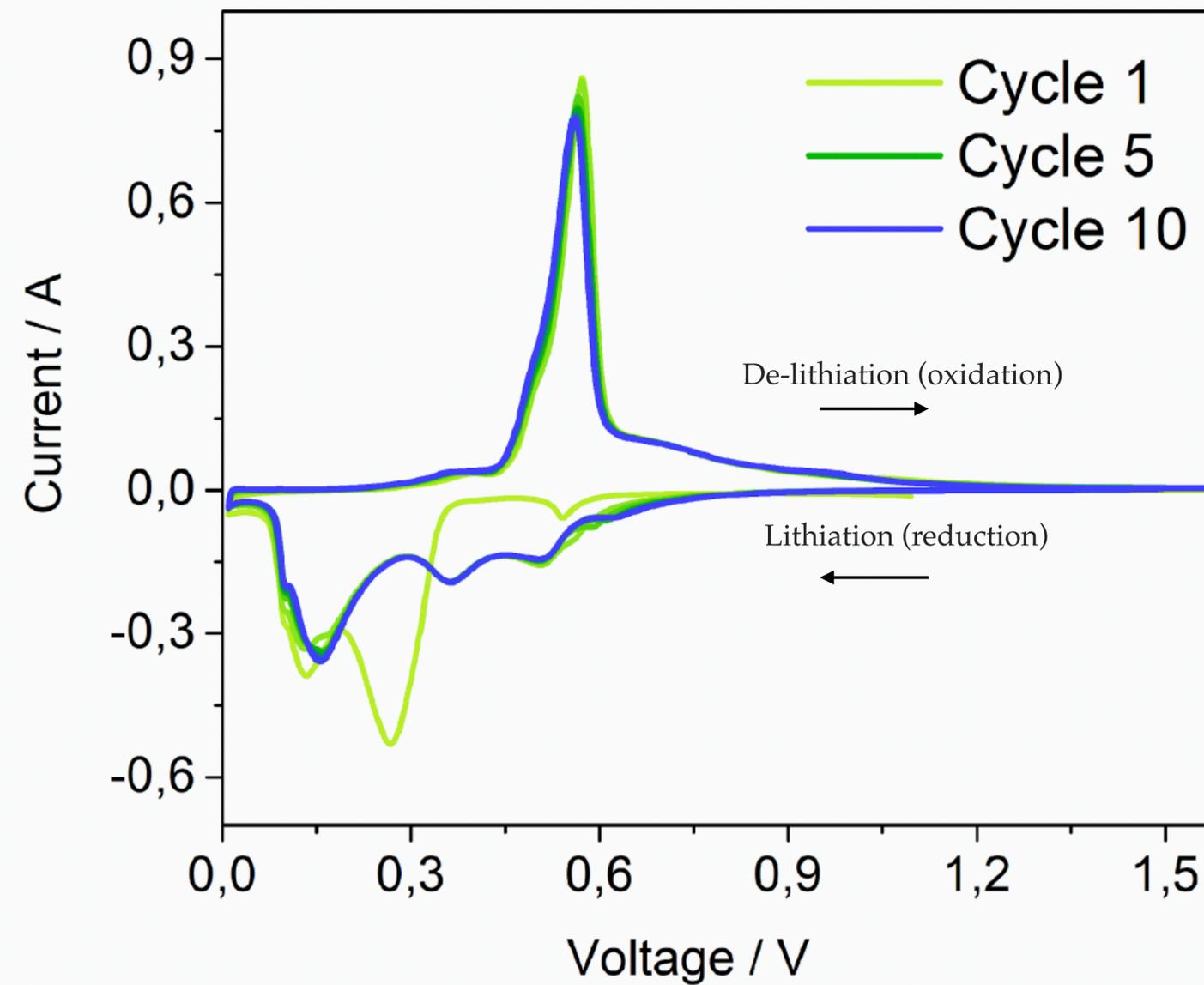
[2] <https://doi.org/10.1021/jz100188d>

ELECTROCHEMICAL CHARACTERISATIONS

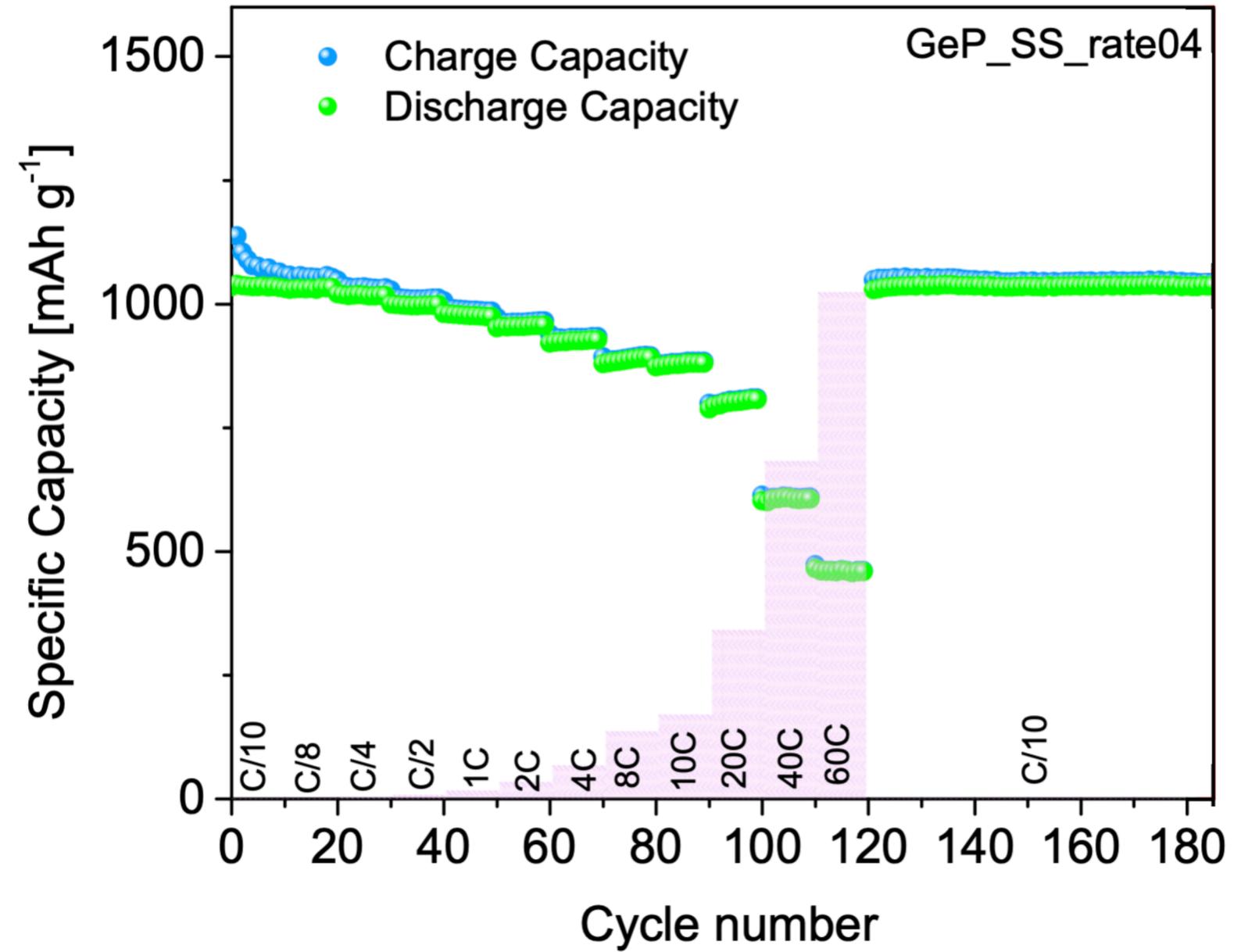
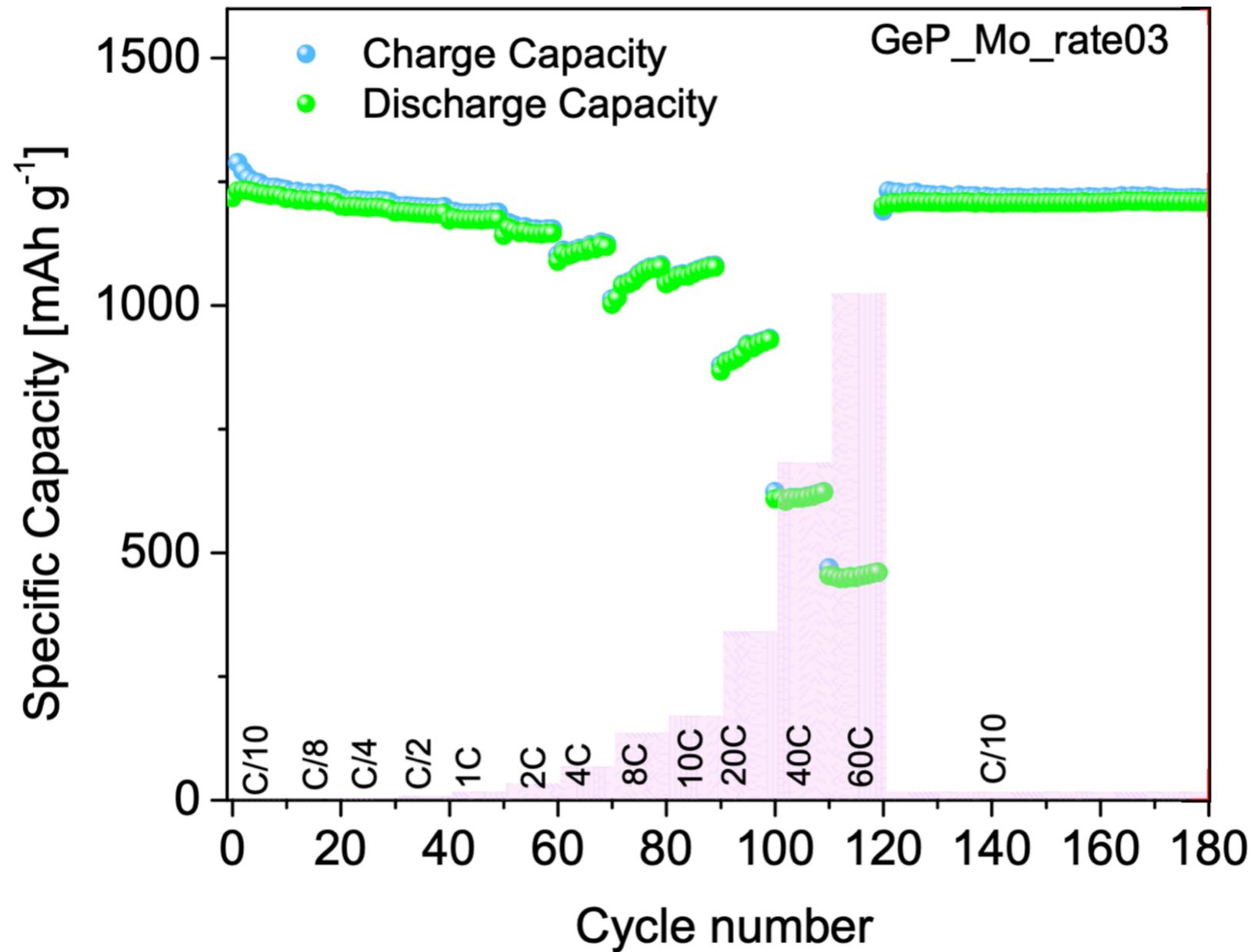
Galvanostatic cycles

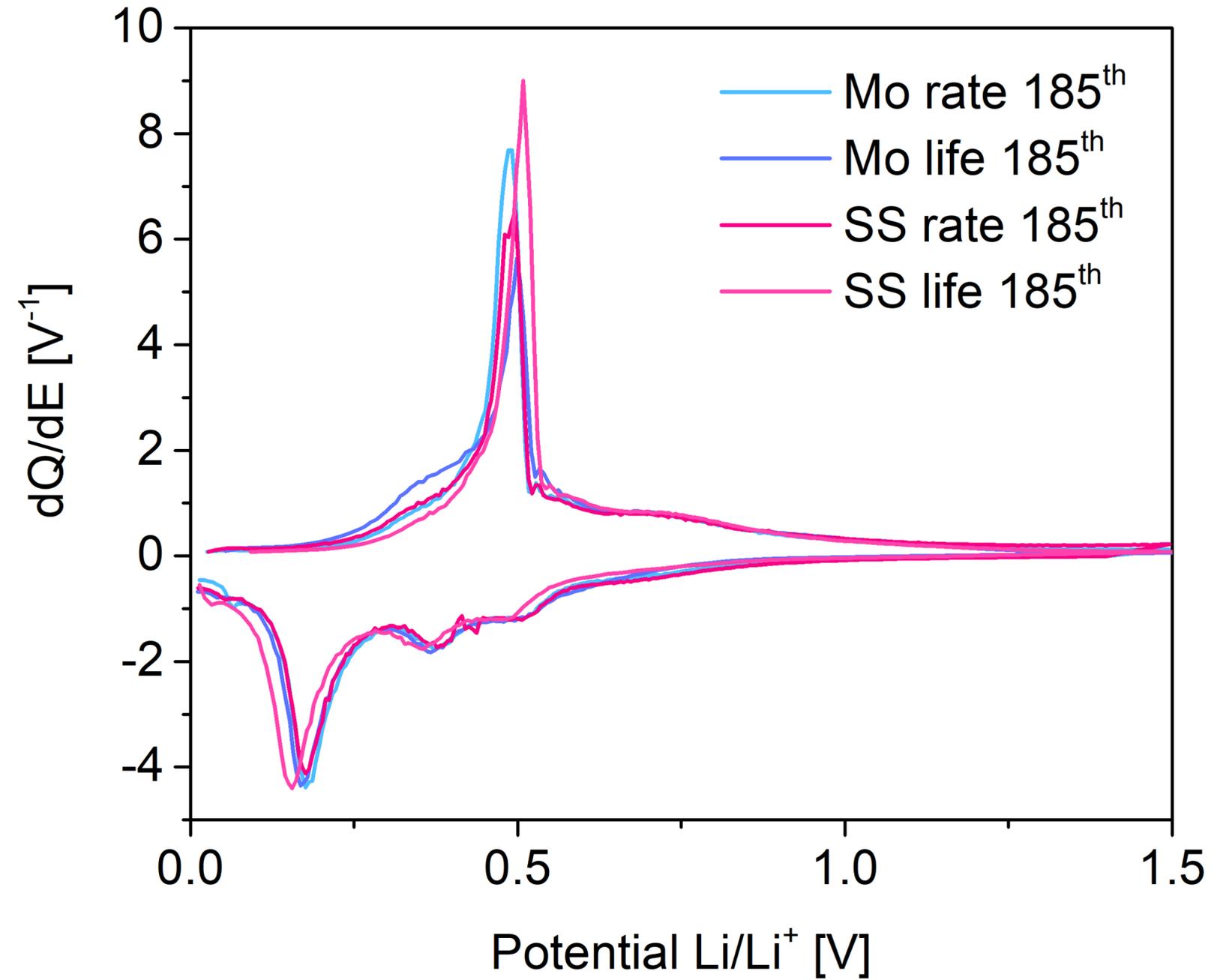


Cyclic Voltammetry



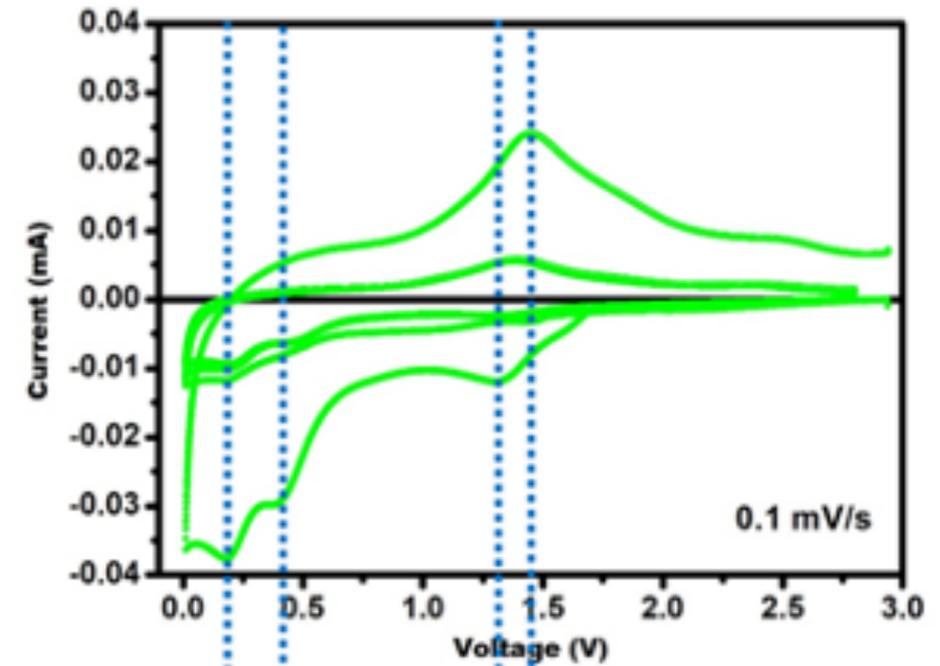
BACK UPS - C-RATE ON MO AND SS





Pristine Molybdenum

No contribution from the substrates



Porous Germanium on Molybdenum

